Edgar Filing: Kennedy Cabot Acquisition, LLC - Form 4

Kennedy Cabot Acquisition, LLC Form 4 December 27, 2017

December 2	7, 2017											
FORM	14								OMB AF	PROVAL		
Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287			
Check th if no long	ger STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF										
-	Section 16. SECURITIES							Estimated average burden hours per				
Form 4 orresponse0.5Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,0.5obligationsSection 17(a) of the Public Utility Holding Company Act of 1935 or Section0.5See Instruction30(h) of the Investment Company Act of 19401940										0.5		
(Print or Type]	Responses)											
1. Name and Address of Reporting Person <u>*</u> Kennedy Cabot Acquisition, LLC			2. Issuer Name and Ticker or Trading Symbol SIEBERT FINANCIAL CORP					5. Relationship of Reporting Person(s) to Issuer				
[SIEB]						(Check all applicable)						
(Mo				f Earliest T Day/Year)	ransaction		- - t	Director 10% Owner Officer (give title Other (specify below)				
	RT FINANCIAL WALL STREET		12/22/2	017								
				nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
						Form filed by M	More than One Reporting					
(City)	(State)	(Zip)	Tabl	le I - Non-l	Derivative Sec	urities	s Acqui	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired (A Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				 A) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) 	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common				Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(110111-1)			
Stock, \$0.01 par value per share	12/22/2017			J <u>(1)</u>	5,000,000 (1)	D (1)	<u>(1)</u>	14,987,283	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationsh						
	Director	Director 10% Owner Officer Other						
Kennedy Cabot Acquisition, LLC C/O SIEBERT FINANCIAL CORP., 12 WALL STREET NEW YORK, NY 10005		Х						
Signatures /s/ Gloria E. Gebbia, Managing Member of Kennedy Cabot Acquisition,								
LLC		12/27/2017						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Effective December 22, 2017, KCA completed a distribution to its members, pro-rata without consideration, of 5,000,000 shares of Issuer common stock.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.