### Edgar Filing: CABOT MICROELECTRONICS CORP - Form 4

#### CABOT MICROELECTRONICS CORP

Form 4

October 07, 2004

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ENRIQUEZ CABOT JUAN** 

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

CABOT MICROELECTRONICS

(Check all applicable)

CORP [CCMP]

3. Date of Earliest Transaction

(Month/Day/Year) 10/06/2004

X\_ Director Officer (give title below)

10% Owner Other (specify

C/O CABOT **MICROELECTRONICS** CORPORATION, 870 COMMONS

**DRIVE** 

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

AURORA, IL 60504

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Securities Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common Stock

Common Stock

(Instr. 3)

Common Stock

Common Stock

12,959

I

D

Ι

I

Wife

588

1,222

Daughter

Beneficial

Ownership

(Instr. 4)

60,582

Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securition (Instr. 3 and 4)	
				Code V	•	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Stock Options (Right to buy)	\$ 20							04/04/2001	04/04/2005	Common Stock	20
Stock Options (Right to buy)	\$ 38.34							03/11/2004	03/11/2013	Common Stock	7,
Stock Options (Right to buy)	\$ 42.44							03/09/2005	03/09/2014	Common Stock	10
Stock Options (Right to buy)	\$ 46.875							03/13/2002	03/13/2008	Common Stock	7,
Stock Options (Right to buy)	\$ 65.73							03/12/2003	03/12/2012	Common Stock	7,
Phantom Stock	\$ 27.45							07/21/2004	07/21/2004	Common Stock	36.
Phantom Stock	\$ 28.05							06/14/2004	06/14/2004	Common Stock	71.
Phantom Stock	\$ 34.3							09/28/2004	09/28/2004	Common Stock	87.
Phantom Stock	\$ 34.39							08/23/2004	08/23/2004	Common Stock	29.

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Phantom Stock	\$ 36.5	10/06/2004	J <u>(1)</u>	27.3973	10/06/2004(2)	10/06/2004	Common Stock	27.
Phantom Stock	\$ 42.44				03/09/2004	03/09/2004	Common Stock	541
Phantom Stock	\$ 50.1				12/08/2003	12/08/2003	Common Stock	59.
Phantom Stock	\$ 50.95				06/17/2003	06/17/2003	Common Stock	1,55
Phantom Stock	\$ 52.5				11/05/2003	11/05/2003	Common Stock	19.
Phantom Stock	\$ 55.37				11/03/2003	11/03/2003	Common Stock	108
Phantom Stock	\$ 59.05				09/23/2003	09/23/2003	Common Stock	50.

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

ENRIQUEZ CABOT JUAN C/O CABOT MICROELECTRONICS CORPORATION 870 COMMONS DRIVE AURORA, IL 60504



## **Signatures**

H. Carol
Bernstein

\*\*Signature of Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Rights to acquire shares pursuant to Directors' Deferred Compensation Plan adopted March 12, 2001 as amended June 17, 2003.
- (2) Rights to aquire shares pursuant to Directors' Deferred Compensation Plan are already earned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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