**HESKA CORP** Form 4 August 18, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Lippincott Rod

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

HESKA CORP [HSKA]

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

3760 ROCKY MOUNTAIN

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title Other (specify

**AVENUE** 

4. If Amendment, Date Original

EVP, Companion Animal Sales 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

08/14/2015

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

LOVELAND, CO 80538

(City)	(State)	(Zip) Tabl	le I - Non-D	<b>Derivative</b>	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	08/14/2015		M	3,323	A	\$ 8.35	4,069 (1)	D	
Common Stock	08/14/2015		M	575	A	\$ 7.36	4,644 (1)	D	
Common Stock	08/14/2015		S	2,367	D	\$ 34.15	2,277 <u>(1)</u>	D	
Common Stock	08/14/2015		S	500	D	\$ 34.19	1,777 <u>(1)</u>	D	
Common Stock	08/14/2015		S	300	D	\$ 34.23	1,477 <u>(1)</u>	D	

#### Edgar Filing: HESKA CORP - Form 4

Common Stock	08/14/2015	S	100	D	\$ 34.24	1,377 (1)	D
Common Stock	08/14/2015	S	100	D	\$ 34.26	1,277 (1)	D
Common Stock	08/14/2015	S	100	D	\$ 34.3	1,177 (1)	D
Common Stock	08/14/2015	S	231	D	\$ 34.32	946 (1)	D
Common Stock	08/14/2015	S	100	D	\$ 34.33	846 (1)	D
Common Stock	08/14/2015	S	100	D	\$ 34.34	746 <u>(1)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (right to buy)	\$ 8.35	08/14/2015	M	3,323	08/24/2013(2)	02/23/2023	Common Stock	3,323
Incentive Stock Option (right to buy)	\$ 7.36	08/14/2015	M	575	(3)	11/20/2023	Common Stock	575

Edgar Filing: HESKA CORP - Form 4

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lippincott Rod

3760 ROCKY MOUNTAIN AVENUE

EVP, Companion Animal Sales

LOVELAND, CO 80538

# **Signatures**

By Jason A. Napolitano For: Rod Lippincott

08/18/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 746 shares acquired under the 1997 Employee Stock Purchase Plan of Heska, as amended and restated, 200 of which were acquired on June 30, 2014, 200 of which were acquired September 30, 2014, 200 of which were acquired on December 31, 2014, 10 of
- which were acquired on March 31, 2015, and 136 of which were acquired on June 30, 2015.
- One-eighth of the shares became vested and exercisable on August 24, 2013, and the remaining shares vest and become exercisable in equal monthly installments thereafter through February 24, 2017.
- (3) This option vests and becomes exercisable in equal monthly installments over four (4) years from the date of grant through November 21, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3