IntelGenx Technologies Corp.

Form 4

February 03, 2014

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

01/29/2014

01/30/2014

01/31/2014

value \$0.00001) Common Stock (par

value \$0.00001) Common Stock (par

value \$0.00001)

| 1. Name and Address of Reporting Person * Zerbe Ingrid |                                      |   | Symbo  | Genx Technologies Corp.                               | Issuer  | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |  |  |
|--|--------------------------------------|---|--|---|---|--|--|--|--|
|  | (Last) 714 MAIN                      | ` , , , ,                               | Middle) 3. Date  | of Earliest Transaction n/Day/Year)                   | below)  | X Officer (give title Other (specify   |  |  |  |
| (Street)   |                                      |   | 4. If Ar   | mendment, Date Original                               | 6. Individual or Join   | 6. Individual or Joint/Group Filing(Check  |  |  |  |
|  | HUDSON,                              | A8 J0P 1H0 (State)                      | (7:5)  | Month/Day/Year)  Able I - Non-Derivative Securities A | _X_ Form filed by On<br>Form filed by Mo<br>Person  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person uired, Disposed of, or Beneficially Owned |  |  |  |
|  | 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year | Code (Instr. 3, 4 and 5) (Instr. 8) (A) or            | d (A) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4)                                 |  |  |  |
|  | Stock (par                           | 01/29/2014                              |  | S 200.000 D \$  | 598.135   | D  |  |  |  |

S

S

S

200,000 D

D

9,000

41,000

598,135

589,135

548,135

0.9224

\$ 0.93

D

D

D

Common Stock (par value \$0.00001)

5,158,221.5 I

See footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.      | 5.           | 6. Date Exer   | cisable and     | 7. Title | e and      | 8. Price of |
|-------------|-------------|---------------------|--------------------|---------|--------------|----------------|-----------------|----------|------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transa  | ctionNumbe   | r Expiration I | Pate            | Amou     | nt of      | Derivative  |
| Security    | or Exercise |                     | any                | Code    | of           | (Month/Day     | /Year)          | Under    | lying      | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. | ) Derivative | ive            |                 |          | Securities | (Instr. 5)  |
|             | Derivative  |                     |                    |         | Securit      | ies            |                 |          | 3 and 4)   |             |
|             | Security    |                     |                    |         | Acquired     |                |                 |          |            |             |
|             |             |                     |                    |         | (A) or       |                |                 |          |            |             |
|             |             |                     |                    |         | Dispose      | ed             |                 |          |            |             |
|             |             |                     |                    |         | of (D)       |                |                 |          |            |             |
|             |             |                     |                    |         | (Instr. 3    | 3,             |                 |          |            |             |
|             |             |                     |                    |         | 4, and 5     | 5)             |                 |          |            |             |
|             |             |                     |                    |         |              |                |                 |          |            |             |
|             |             |                     |                    |         |              |                |                 |          | Amount     |             |
|             |             |                     |                    |         |              | Date           | Expiration Date |          | or         |             |
|             |             |                     |                    |         |              | Exercisable    |                 |          | Number     |             |
|             |             |                     |                    |         |              |                |                 |          | of         |             |
|             |             |                     |                    | Code    | V (A) (I     | <b>D</b> )     |                 |          | Shares     |             |

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Zerbe Ingrid

714 MAIN ROAD HUDSON, A8 J0P 1H0 Corporate Secretary

### **Signatures**

/s/ Ingrid Zerbe 02/03/2014 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In connection with the acquisition of IntelGenx in 2006, Ingrid Zerbe became our Corporate Secretary and Director of Finance and Administration and acquired 4,709,643.5 exchangeable Shares of our Canadian holding corporation 6544631Canada Inc., a Canadian special purpose corporation which wholly owns IntelGenx Corp. (the "Exchangeable Shares"). In June of 2009 Ms. Zerbe acquired 1,021,713 Exchangeable Shares from Joel Cohen in a private transaction. The 5,731,356.5 Exchangeable Shares are exchangeable, on a

Reporting Owners 2

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one for one basis, into shares of common stock of IntelGenx Technologies Corp. at Ms. Zerbe's discretion. As of today 573,135 exchangeable shares have been exchanged into common stock of IntelGenx Technologies Corp. Prior to exchanging the remaining Exchangeable Shares, Ms. Zerbe has the right to vote 5,158,221.5 shares of common stock which are currently held in trust on behalf of Ms. Zerbe. The common shares have not been registered for resale at this time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.