CHARTER COMMUNICATIONS, INC. /MO/
Form 4
April 27, 2016

| RM 4 |  | OMB APPROVAL |
| :---: | :---: | :---: |
|  | UNITED STATES SECURITIES AND EXCHANGE COMMISSION | $\begin{aligned} & \text { OMB } \\ & \text { Number: }\end{aligned} \quad 3235-0287$ |
| Check this box |  | Expires: January 31, |
| if no longer subject to | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | Estimated average 2005 |
| Section 16. | SECURITIES | burden hours per |
| Form 4 or |  | response... 0.5 |
| Form 5 obligations may continue. | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section |  |
| See Instruction | 30(h) of the Investment Company Act of 1940 |  |
| 1(b). |  |  |

(Print or Type Responses)


Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

| Persons who respond to the collection of | SEC 1474 |
| :--- | ---: |
| information contained in this form are not | $(9-02)$ |
| required to respond unless the form |  |
| displays a currently valid OMB control |  |
| number. |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)


## Reporting Owners

Reporting Owner Name / Address
Relationships
Director $10 \%$ Owner Officer Other
Rutledge Thomas
C/O CHARTER COMMUNICATIONS, INC.
400 ATLANTIC STREET
STAMFORD, CT 06901

## Signatures

/s/Daniel J. Bollinger as attorney-in-fact for Thomas M. Rutledge

04/26/2016

Date

## Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction $4(\mathrm{~b})(\mathrm{v})$.
Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.

Stock options granted on April 25, 2016 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan; 360,000 shares subject to the attainment of a $\$ 262$ per-share hurdle (with a 10 -year term); 360,000 shares subject to the attainment of a $\$ 330$ per-share hurdle (with a 10 -year term); 360,000 shares subject to the attainment of a $\$ 412$ per-share hurdle
(1) (with a 10-year term); 360,000 shares subject to the attainment of a $\$ 449$ per-share hurdle (with a 10-year term); and 360,000 shares subject to the attainment of a $\$ 510$ per-share hurdle (with a 10-year term). One third of the stock options will be first eligible to vest on each of the third, fourth and fifth anniversaries of the date of grant subject to achievement of the applicable per-share price hurdles. Any options that have not vested within 6 years from the date of grant will be cancelled.
(2)

Restricted Stock Unit Grant - not applicable
Restricted Stock Units granted on April 25, 2016 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan; 66,666 shares subject to the attainment of a $\$ 412$ per-share hurdle; 66,666 shares subject to the attainment of a $\$ 449$ per-share hurdle; and 66,667 shares subject to the attainment of a $\$ 510$ per-share hurdle (each with a six-year term). One third of the Restricted Stock Units will be first eligible to vest on each of the third, fourth and fifth anniversaries of the date of grant subject to achievement of the applicable per-share price hurdles. Any Restricted Stock Units that have not vested within 6 years from the date of grant will be cancelled.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

