### Edgar Filing: DEXCOM INC - Form 4

| Form 4  |                       |  |   |   |                      |           |  |  |   |                     |  |
|---|-----------------------|--|---|---|----------------------|-----------|--|--|---|---------------------|--|
| September 1   | _                     |  |   |   |                      |           |  |  | OMB AF  | PROVAL              |  |
| FORM  | /1 4 UNITED           | STATES   |   | RITIES A<br>shington                              |                      |           |  | OMMISSION  | OMB<br>Number:  | 3235-0287           |  |
| Check the check | aar                   |  |   | U   | ,<br>,               |           |  |  | Expires:  | January 31,<br>2005 |  |
| if no lon<br>subject t<br>Section<br>Form 4<br>Form 5   | to SIAIE<br>16.<br>or | <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b><br><b>SECURITIES</b><br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, |   |   |                      |           |  |  |   |                     |  |
| obligatio<br>may cor<br><i>See</i> Instr<br>1(b).   | ons Section 17        | (a) of the l   | Public U  | tility Hol  | lding Co             | mpar      | U  | 1935 or Section  | I   |                     |  |
| (Print or Type  | Responses)            |  |   |   |                      |           |  |  |   |                     |  |
| LISTER JOHN Symb  |                       |  | Symbol  | issuer raune and mener of maning                  |                      |           |  | 5. Relationship of Reporting Person(s) to Issuer             |   |                     |  |
| (Least)   | (First)               | Middle)  |   |   | -                    | -         |  | (Check   | all applicable  | )                   |  |
|   |                       |  |   | . Date of Earliest Transaction<br>Month/Day/Year) |                      |           |  | Director   | 10%   | Owner               |  |
| 6340 SEQU   | JENCE DRIVE           |  | 09/12/2   |   |                      |           |  | _XOfficer (give<br>below)<br>Sr. VP,                         | title Othe<br>below)<br>General Couns                             | er (specify<br>sel  |  |
|   | (Street)              |  | 4. If Am  | endment, D  | ate Origin           | al        | 6  | 5. Individual or Joi   | nt/Group Filin  | g(Check             |  |
| SAN DIFG  | 60, CA 92121          |  | Filed(Mo  | nth/Day/Yea                                       | ar)                  |           |  | Applicable Line)<br>_X_ Form filed by O<br>Form filed by M   |   |                     |  |
|   | (State)               | (Zip)  |   |   |                      |           |  | Person   |   |                     |  |
| (City)  | . ,                   |  |   |   |                      |           | _  | ired, Disposed of,   |   | -                   |  |
| 1.Title of<br>Security2. Transaction Date<br>(Month/Day/Year)2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)  |                       |  | if Transactionor Disposed of (D) Se<br>Code (Instr. 3, 4 and 5) Be<br>rr) (Instr. 8) Ov<br>Fo<br>Re |   |                      |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                     |  |
|   |                       |  |   |   |                      | (A)<br>or |  | Transaction(s)<br>(Instr. 3 and 4)                           | (Instr. 4)  |                     |  |
| Common  | 09/12/2014            |  |   | Code V<br>D                                       | Amount 3,083         | (D)<br>D  | Price<br>\$  | $106,593 \frac{(2)}{(2)}$                                    | D   |                     |  |
| Stock   |                       |  |   | _   | (1)                  | _         | 43.3624  |  | _   |                     |  |
| Common<br>Stock   | 09/12/2014            |  |   | S   | 1,768<br>(3)         | D         | \$<br>43.7036<br>(4)   | 104,825 (2)  | D   |                     |  |
| Common<br>Stock   | 09/12/2014            |  |   | М   | 1,750                | A         | \$ 4.58  | 106,575 <u>(2)</u>   | D   |                     |  |
| Common<br>Stock   | 09/12/2014            |  |   | S   | 1,750<br>( <u>3)</u> | D         | \$<br>43.6978<br>(5)   | 104,825 <u>(2)</u>   | D   |                     |  |
|   | 09/15/2014            |  |   | М   | 5,500                | А         | \$ 4.58  | 110,325 <u>(2)</u>   | D   |                     |  |

#### Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amour<br>Underlying Securit<br>(Instr. 3 and 4) |                                 |
|---|---|---|---|--|---|--|--------------------|--|---------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amo<br>or<br>Num<br>of<br>Share |
| Incentive<br>Stock Option<br>(right to buy)         | \$ 4.58   | 09/15/2014                              |   | М                                      | 5,500   | 05/19/2010   | 05/19/2019         | Common<br>Stock  | 5,5                             |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 4.58   | 09/12/2014                              |   | М                                      | 1,750   | 05/19/2010   | 05/19/2019         | Common<br>Stock  | 1,7                             |

## **Reporting Owners**

| Reporting Owner Name / Address                            |          |            | Relationships           |       |
|---|----------|------------|-------------------------|-------|
|   | Director | 10% Owner  | Officer                 | Other |
| LISTER JOHN<br>6340 SEQUENCE DRIVE<br>SAN DIEGO, CA 92121 |          |            | Sr. VP, General Counsel |       |
| Signatures  |          |            |                         |       |
| By: Jess Roper For: John D.<br>Lister                     |          | 09/15/2014 |                         |       |
| **Signature of Reporting Person                           |          | Date       |                         |       |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold to cover the Company's tax withholding obligation that accrued in connection with the vesting of restricted stock units previously granted.
- Included in this number are 88,021 unvested restricted stock units, 65,000 of which were granted on March 8, 2014 and shall vest through
  (2) March 8, 2017, 17,500 of which were granted on March 11, 2013 and shall vest through March 11, 2016, and 5,521 of which were granted on March 8, 2012 and shall vest through March 8, 2015.
- On December 11, 2013, Mr. Lister adopted a 10b5-1 Plan. This 10b5-1 Plan allows the orderly disposition of shares owned by Mr. Lister. The shares set forth above were sold pursuant to the 10b5-1 Plan.

This transaction was executed in multiple trades at prices ranging from \$43.52 to \$44.05. The price above reflects the weighted average(4) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issurer full information regarding the number of shares and prices at which the transactions were effected.

This transaction was executed in multiple trades at prices ranging from \$43.52 to \$44.01. The price above reflects the weighted average(5) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issurer full information regarding the number of shares and prices at which the transactions were effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.