

Edgar Filing: ACA Capital Holdings Inc - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Series B Senior Convertible Preferred Stock	Â (1)	Â (1)	Common Stock	6,000	\$ (1)	D	Â
Stock Options	Â (2)	03/20/2012	Common Stock	43,771.8	\$ 12.13	D	Â
Stock Options	Â (3)	11/09/2014	Common Stock	26,416.11	\$ 10.38	D	Â
Stock Options	Â (4)	02/09/2016	Common Stock	6,000	\$ 12.66	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pimbley Joseph C/O ACA CAPITAL HOLDINGS, INC. 140 BROADWAY NEW YORK, NY 10005	Â	Â	Â EVP Institutional Risk Mgmt.	Â

Signatures

/s/ Stacey Tyson,
Attorney-In-Fact

11/09/2006

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The Series B Senior Convertible Preferred Stock has no expiration date and, upon the closing of the initial public offering of the issuer, will convert into shares of the issuer's common stock on a 6-for-1 basis. The number of shares reported reflects the 6-for-1 conversion.
- (2) These stock options are fully vested.
- (3) These stock options are fully vested.
- (4) These stock options are fully vested.

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Remarks:

Exhibit List: Â Â Â Â Exhibit 24: Â Â Â Â Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.