

CORNERSTONE PROGRESSIVE RETURN FUND
Form N-PX
August 24, 2011

OMB APPROVAL

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-22066

Cornerstone Progressive Return Fund

(Exact name of registrant as specified in charter)

350 Jericho Turnpike, Suite 206 Jericho, New York 11753

(Address of principal executive offices) (Zip code)

Frank J. Maresca

Ultimus Fund Solutions, LLC 350 Jericho Turnpike, Suite 206 Jericho, NY 11753

(Name and address of agent for service)

Registrant's telephone number, including area code: (513) 587-3400

Date of fiscal year end: December 31

Date of reporting period: July 1, 2010 - June 30, 2011

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not

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required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

ITEM 1. PROXY VOTING RECORD.

Disclose the following information for each matter relating to a portfolio security considered at any shareholder meeting held during the period covered by the report and with respect to which the registrant was entitled to vote:

- (a) The name of the issuer of the portfolio security;
- (b) The exchange ticker symbol of the portfolio security;
- (c) The Council on Uniform Securities Identification Procedures ("CUSIP") number for the portfolio security;
- (d) The shareholder meeting date;
- (e) A brief identification of the matter voted on;
- (f) Whether the matter was proposed by the issuer or by a security holder;
- (g) Whether the registrant cast its vote on the matter;
- (h) How the registrant cast its vote (e.g., for or against proposal, or abstain; for or withhold regarding election of directors); and
- (i) Whether the registrant cast its vote for or against management.

SIGNATURES

[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Progressive Return Fund

By (Signature and Title)* /s/ Ralph W. Bradshaw

Ralph W. Bradshaw, President and Chairman
(Principal Executive Officer)

Date August 23, 2011

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* Print the name and title of each signing officer under his or her signature.

EXHIBIT A

Item 1: Proxy Voting Record

Registrant : Cornerstone Progressive Return Fund

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3M Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MMM	CUSIP 88579Y101	05/10/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Linda Alvarado	Mgmt	For	For	For
2	Elect George Buckley	Mgmt	For	For	For
3	Elect Vance Coffman	Mgmt	For	For	For
4	Elect Michael Eskew	Mgmt	For	For	For
5	Elect W. James Farrell	Mgmt	For	For	For
6	Elect Herbert Henkel	Mgmt	For	For	For
7	Elect Edward Liddy	Mgmt	For	For	For
8	Elect Robert Morrison	Mgmt	For	For	For
9	Elect Aulana Peters	Mgmt	For	Against	Against
10	Elect Robert Ulrich	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against

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Aberdeen
Asia-Pacific
Income Fund,
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
FAX	CUSIP 003009107	03/15/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				

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1.1	Elect Peter Sacks	Mgmt	For	For	For
1.2	Elect P. Gerald Malone	Mgmt	For	For	For

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Aberdeen Israel
Fund,
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ISL	CUSIP 00301L109	04/05/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect James Cattano	Mgmt	For	For	For
1.2	Elect Steven Rappaport	Mgmt	For	Withhold	Against

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Adam Express
Company

Ticker	Security ID:	Meeting Date	Meeting Status		
ADX	CUSIP 006212104	03/22/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Enrique Arzac	Mgmt	For	Withhold	Against
1.2	Elect Phyllis Bonanno	Mgmt	For	For	For
1.3	Elect Kenneth Dale	Mgmt	For	For	For
1.4	Elect Daniel Emerson	Mgmt	For	For	For
1.5	Elect Frederic Escherich	Mgmt	For	For	For
1.6	Elect Roger Gale	Mgmt	For	For	For
1.7	Elect Thomas Lenagh	Mgmt	For	For	For
1.8	Elect Kathleen McGahran	Mgmt	For	For	For
1.9	Elect Douglas Ober	Mgmt	For	For	For
1.10	Elect Craig Smith	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Shareholder Proposal Regarding Self-Tender Offer	ShrHldr	Against	Against	For

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AFLAC
Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
AFL	CUSIP 001055102	05/02/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag

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					Mgmt
1	Elect Daniel Amos	Mgmt	For	For	For
2	Elect John Shelby Amos II	Mgmt	For	Against	Against
3	Elect Paul Amos II	Mgmt	For	For	For
4	Elect Michael Armacost	Mgmt	For	For	For
5	Elect Kriss Cloninger III	Mgmt	For	Against	Against
6	Elect Elizabeth Hudson	Mgmt	For	For	For
7	Elect Douglas Johnson	Mgmt	For	For	For
8	Elect Robert Johnson	Mgmt	For	For	For
9	Elect Charles Knapp	Mgmt	For	For	For
10	Elect E. Stephen Purdom	Mgmt	For	For	For
11	Elect Barbara Rimer	Mgmt	For	For	For
12	Elect Marvin Schuster	Mgmt	For	For	For
13	Elect David Thompson	Mgmt	For	For	For
14	Elect Robert Wright	Mgmt	For	For	For
15	Elect Takuro Yoshida	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Ratification of Auditor	Mgmt	For	For	For

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 AllianceBernstein
 Global High
 Income Fund
 Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
AWF	CUSIP 01879R106	03/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Dobkin	Mgmt	For	Withhold	Against
1.2	Elect William Foulk, Jr.	Mgmt	For	Withhold	Against
1.3	Elect D. James Guzy	Mgmt	For	Withhold	Against

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 AllianceBernstein
 Global High
 Income Fund,
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AWF	CUSIP 01879R106	03/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Dobkin	Mgmt	For	For	For

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1.2	Elect William Foulk, Jr.	Mgmt	For	For	For
1.3	Elect D. James Guzy	Mgmt	For	For	For

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AllianceBernstein
Income Fund
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
ACG	CUSIP 01881E101	03/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect William Foulk, Jr.	Mgmt	For	For	For
1.2	Elect D. James Guzy	Mgmt	For	For	For
1.3	Elect Robert Keith	Mgmt	For	For	For

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AllianceBernstein
Income Fund
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
ACG	CUSIP 01881E101	03/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect William Foulk, Jr.	Mgmt	For	Withhold	Agains
1.2	Elect D. James Guzy	Mgmt	For	Withhold	Agains
1.3	Elect Robert Keith	Mgmt	For	Withhold	Agains

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Alpine Global
Dynamic
Dividend
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AGD	CUSIP 02082E106	05/09/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Jeffrey Wacksman	Mgmt	For	For	For

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Alpine Global
Dynamic
Dividend

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Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AGD	CUSIP 02082E106	05/09/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1.1	Elect Jeffrey Wacksman	Mgmt	For	Abstain	Against

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Alpine Global
Premier
Properties
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AWP	CUSIP 02083A103	05/09/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1.1	Elect Jeffrey Wacksman	Mgmt	For	For	For

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Alpine Global
Premier
Properties
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AWP	CUSIP 02083A103	05/09/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1.1	Elect Jeffrey Wacksman	Mgmt	For	Abstain	Against

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Alpine Total
Dynamic
Dividend
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AOD	CUSIP 021060108	05/09/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				

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1.1	Elect Jeffrey Wacksman	Mgmt	For	For	For
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Alpine Total
Dynamic
Dividend
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AOD	CUSIP 021060108	05/09/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

1.1	Elect Jeffrey Wacksman	Mgmt	For	Abstain	Against
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AOL, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AOL	CUSIP 00184X105	05/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

1	Elect Tim Armstrong	Mgmt	For	For	For
2	Elect Richard Dalzell	Mgmt	For	For	For
3	Elect Karen Dykstra	Mgmt	For	For	For
4	Elect Alberto Ibarguen	Mgmt	For	For	For
5	Elect Susan Lyne	Mgmt	For	For	For
6	Elect Patricia Mitchell	Mgmt	For	For	For
7	Elect Fredric Reynolds	Mgmt	For	For	For
8	Elect James Stengel	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Automatic Data
Processing

Ticker	Security ID:	Meeting Date	Meeting Status		
ADP	CUSIP 053015103	11/09/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

1.1	Elect Gregory Brenneman	Mgmt	For	For	For
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1.2	Elect Leslie Brun	Mgmt	For	For	For
1.3	Elect Gary Butler	Mgmt	For	For	For
1.4	Elect Leon Cooperman	Mgmt	For	For	For
1.5	Elect Eric Fast	Mgmt	For	For	For
1.6	Elect Linda Gooden	Mgmt	For	For	For
1.7	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.8	Elect John Jones	Mgmt	For	For	For
1.9	Elect Sharon Rowlands	Mgmt	For	For	For
1.10	Elect Enrique Salem	Mgmt	For	For	For
1.11	Elect Gregory Summe	Mgmt	For	For	For
2	Amendment to the Employees' Savings-Stock Purchase Plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

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Becton,
Dickinson And
Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
BDX	CUSIP 075887109	02/01/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Issue No.	Description				
1.1	Elect Basil Anderson	Mgmt	For	For	For
1.2	Elect Henry Becton, Jr.	Mgmt	For	For	For
1.3	Elect Edward DeGraan	Mgmt	For	For	For
1.4	Elect Claire Fraser-Liggett	Mgmt	For	For	For
1.5	Elect Christopher Jones	Mgmt	For	For	For
1.6	Elect Marshall Larsen	Mgmt	For	For	For
1.7	Elect Edward Ludwig	Mgmt	For	For	For
1.8	Elect Adel Mahmoud	Mgmt	For	For	For
1.9	Elect Gary Mecklenburg	Mgmt	For	For	For
1.10	Elect Cathy Minehan	Mgmt	For	Withhold	Against
1.11	Elect James Orr	Mgmt	For	For	For
1.12	Elect Willard Overlock, Jr.	Mgmt	For	For	For
1.13	Elect Bertram Scott	Mgmt	For	For	For
1.14	Elect Alfred Sommer	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	N/A	1 Year	N/A
5	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
6	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	For	Against

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 Calamos Global
 Dynamic Income
 Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
CHW	CUSIP 12811L107	06/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Calamos, Sr.	Mgmt	For	For	For
1.2	Elect William Rybak	Mgmt	For	For	For

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 Calamos Global
 Dynamic Income
 Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
CHW	CUSIP 12811L107	06/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Calamos, Sr.	Mgmt	For	Abstain	Against
1.2	Elect William Rybak	Mgmt	For	Abstain	Against

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 Calamos
 Strategic Total
 Return
 Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
CSQ	CUSIP 128125101	06/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Calamos, Sr.	Mgmt	For	For	For
1.2	Elect William Rybak	Mgmt	For	For	For

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 Cisco Systems Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
CSCO	CUSIP 17275R102	11/18/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag

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					Mgmt
1	Elect Carol Bartz	Mgmt	For	For	For
2	Elect M. Michele Burns	Mgmt	For	For	For
3	Elect Michael Capellas	Mgmt	For	For	For
4	Elect Larry Carter	Mgmt	For	For	For
5	Elect John Chambers	Mgmt	For	For	For
6	Elect Brian Halla	Mgmt	For	For	For
7	Elect John Hennessy	Mgmt	For	Against	Against
8	Elect Richard Kovacevich	Mgmt	For	For	For
9	Elect Roderick McGearry	Mgmt	For	For	For
10	Elect Michael Powell	Mgmt	For	For	For
11	Elect Arun Sarin	Mgmt	For	For	For
12	Elect Steven West	Mgmt	For	For	For
13	Elect Jerry Yang	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Formation of Environmental Sustainability Committee	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Report on Internet Fragmentation	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Restricting Sales to China	ShrHldr	Against	Against	For

 Columbia
 Seligman
 Premium
 Technology
 Growth Fund
 Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
STK	CUSIP 19842X109	04/14/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Patricia Flynn	Mgmt	For	For	For
1.2	Elect Stephen Lewis, Jr.	Mgmt	For	For	For
1.3	Elect Catherine James Paglia	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status
CMCSA	CUSIP 20030N101	05/11/2011	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect S. Decker Anstrom	Mgmt	For	For	For
1.2	Elect Kenneth Bacon	Mgmt	For	Withhold	Against
1.3	Elect Sheldon Bonovitz	Mgmt	For	For	For
1.4	Elect Edward Breen	Mgmt	For	For	For
1.5	Elect Joseph Collins	Mgmt	For	For	For
1.6	Elect J. Michael Cook	Mgmt	For	For	For
1.7	Elect Gerald Hassell	Mgmt	For	For	For
1.8	Elect Jeffrey Honickman	Mgmt	For	For	For
1.9	Elect Eduardo Mestre	Mgmt	For	For	For
1.10	Elect Brian Roberts	Mgmt	For	For	For
1.11	Elect Ralph Roberts	Mgmt	For	For	For
1.12	Elect Judith Rodin	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	2011 Employee Stock Purchase Plan	Mgmt	For	For	For
4	Amendment to the 2002 Restricted Stock Plan	Mgmt	For	For	For
5	Amendment to the 2003 Stock Option Plan	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
8	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	For	Against
9	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

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Conoco Phillips					
Ticker	Security ID:	Meeting Date		Meeting Status	
COP	CUSIP 20825C104	05/11/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Richard Armitage	Mgmt	For	For	For
2	Elect Richard Auchinleck	Mgmt	For	For	For
3	Elect James Copeland, Jr.	Mgmt	For	For	For
4	Elect Kenneth Duberstein	Mgmt	For	For	For
5	Elect Ruth Harkin	Mgmt	For	For	For
6	Elect Harold McGraw III	Mgmt	For	For	For
7	Elect James Mulva	Mgmt	For	For	For
8	Elect Robert Niblock	Mgmt	For	For	For
9	Elect Harald Norvik	Mgmt	For	For	For

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10	Elect William Reilly	Mgmt	For	For	For
11	Elect Victoria Tschinkel	Mgmt	For	For	For
12	Elect Kathryn Turner	Mgmt	For	For	For
13	Elect William Wade, Jr.	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	Against	Against
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	2011 Omnibus Stock and Performance Incentive Plan	Mgmt	For	For	For
18	Shareholder Proposal Regarding Adopting Gender Identity and Expression Anti-Bias Policy	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
20	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
21	Shareholder Proposal Regarding Safety Report	ShrHldr	Against	Against	For
22	Shareholder Proposal Regarding Louisiana Wetlands	ShrHldr	Against	Against	For
23	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHldr	Against	Against	For
24	Shareholder Proposal Regarding the Financial Risks of Climate Change	ShrHldr	Against	Against	For
25	Shareholder Proposal Regarding Report on Oil Sands Operations	ShrHldr	Against	Against	For

DIRECTV					
Ticker	Security ID:	Meeting Date		Meeting Status	
DTV	CUSIP 25490A101	04/28/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1.1	Elect David Dillon	Mgmt	For	For	For
1.2	Elect Samuel DiPiazza, Jr.	Mgmt	For	For	For
1.3	Elect Lorrie Norrington	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	Against	Against
3	Amendment to Dual Class Stock	Mgmt	For	For	For
4	Repeal of Classified Board	Mgmt	For	For	For
5	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
6	Limitation of Right to Call a Special Meeting	Mgmt	For	Against	Against
7	Adoption of Delaware as the Exclusive Forum for Certain Disputes	Mgmt	For	Against	Against
8	Advisory Vote on Executive Compensation	Mgmt	For	For	For
9	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Dow 30 Enhanced
Premium &
Income Fund
Inc

Ticker	Security ID:	Meeting Date	Meeting Status			
DPO	CUSIP 260537105	05/06/2011	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For		For	For
1.2	Elect Robert Bremner	Mgmt	For		For	For
1.3	Elect Jack Evans	Mgmt	For		For	For
1.4	Elect David Kundert	Mgmt	For		For	For
1.5	Elect Judith Stockdale	Mgmt	For		For	For
1.6	Elect Carole Stone	Mgmt	For		For	For
1.7	Elect Terence Toth	Mgmt	For		For	For
1.8	Elect Virginia Stringer	Mgmt	For		For	For
1.9	Elect William Hunter	Mgmt	For		For	For
1.10	Elect William Schneider	Mgmt	For		For	For

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Dow 30 Enhanced
Premium &
Income Fund
Inc

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Ticker	Security ID:	Meeting Date	Meeting Status		
DPO	CUSIP 260537105	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	Withhold	Agains
1.2	Elect Robert Bremner	Mgmt	For	Withhold	Agains
1.3	Elect Jack Evans	Mgmt	For	Withhold	Agains
1.4	Elect David Kundert	Mgmt	For	Withhold	Agains
1.5	Elect Judith Stockdale	Mgmt	For	Withhold	Agains
1.6	Elect Carole Stone	Mgmt	For	Withhold	Agains
1.7	Elect Terence Toth	Mgmt	For	Withhold	Agains
1.8	Elect Virginia Stringer	Mgmt	For	Withhold	Agains
1.9	Elect William Hunter	Mgmt	For	Withhold	Agains
1.10	Elect William Schneider	Mgmt	For	Withhold	Agains

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Duff & Phelps
Utility &
Corporate Bond
Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
DUC	CUSIP 26432K108	05/12/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Geraldine McNamara	Mgmt	For	Withhold	Agains
1.2	Elect Christian Poindexter	Mgmt	For	Withhold	Agains

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Duff & Phelps
Utility and
Corporate Bond
Trust
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
DUC	CUSIP 26432K108	05/12/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Geraldine McNamara	Mgmt	For	For	For
1.2	Elect Christian Poindexter	Mgmt	For	For	For

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Duke Energy Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
DUK	CUSIP 26441C105	05/05/2011	Voted		
Meeting Type	Country of Trade				

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Annual Issue No.	United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect William Barnet III	Mgmt	For	For	For
1.2	Elect G. Alex Bernhardt, Sr.	Mgmt	For	For	For
1.3	Elect Michael Browning	Mgmt	For	For	For
1.4	Elect Daniel DiMicco	Mgmt	For	For	For
1.5	Elect John Forsgren	Mgmt	For	For	For
1.6	Elect Ann Maynard Gray	Mgmt	For	For	For
1.7	Elect James Hance, Jr.	Mgmt	For	For	For
1.8	Elect E. James Reinsch	Mgmt	For	For	For
1.9	Elect James Rhodes	Mgmt	For	For	For

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Duke Energy Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
DUK	CUSIP 26441C105	05/05/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect James Rogers	Mgmt	For	For	For
1.11	Elect Philip Sharp	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
6	Shareholder Proposal Regarding Report on Coal Risk	ShrHldr	Against	Against	For
7	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHldr	Against	For	Against

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Eaton Vance
Enhanced Equity
Income Fund
II

Ticker	Security ID:	Meeting Date	Meeting Status		
EOS	CUSIP 278277108	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1.1	Elect Benjamin Esty	Mgmt	For	For	For
1.2	Elect Thomas Faust, Jr.	Mgmt	For	For	For
1.3	Elect Allen Freedman	Mgmt	For	For	For

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Eaton Vance
Enhanced Equity
Income Fund
II

Ticker	Security ID:	Meeting Date	Meeting Status		
EOS	CUSIP 278277108	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Benjamin Esty	Mgmt	For	Withhold	Agains
1.2	Elect Thomas Faust, Jr.	Mgmt	For	Withhold	Agains
1.3	Elect Allen Freedman	Mgmt	For	Withhold	Agains

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Eaton Vance
Risk-Managed
Diversified
Equity Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETJ	CUSIP 27829G106	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Benjamin Esty	Mgmt	For	For	For
1.2	Elect Thomas Faust, Jr.	Mgmt	For	For	For
1.3	Elect Allen Freedman	Mgmt	For	For	For

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Eaton Vance
Risk-Managed
Diversified
Equity Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETJ	CUSIP 27829G106	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Benjamin Esty	Mgmt	For	Withhold	Agains
1.2	Elect Thomas Faust, Jr.	Mgmt	For	Withhold	Agains

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1.3 Elect Allen Freedman Mgmt For Withhold Against

Eaton Vance
Tax-Managed
Buy-Write
Income

Ticker	Security ID:	Meeting Date	Meeting Status		
ETB	CUSIP 27828X100	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Helen Peters	Mgmt	For	For	For
1.2	Elect Lynn Stout	Mgmt	For	For	For
1.3	Elect Ralph Verni	Mgmt	For	For	For

Eaton Vance
Tax-Managed
Buy-Write
Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETB	CUSIP 27828X100	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Helen Peters	Mgmt	For	Withhold	Against
1.2	Elect Lynn Stout	Mgmt	For	Withhold	Against
1.3	Elect Ralph Verni	Mgmt	For	Withhold	Against

Eaton Vance
Tax-Managed
Buy-Write
Opportunities
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETV	CUSIP 27828Y108	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Helen Peters	Mgmt	For	For	For
1.2	Elect Lynn Stout	Mgmt	For	For	For
1.3	Elect Ralph Verni	Mgmt	For	For	For

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Eaton Vance
Tax-Managed
Buy-Write
Opportunities
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETV	CUSIP 27828Y108	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Helen Peters	Mgmt	For	Withhold	Agains
1.2	Elect Lynn Stout	Mgmt	For	Withhold	Agains
1.3	Elect Ralph Verni	Mgmt	For	Withhold	Agains

Eaton Vance
Tax-Managed
Global
Buy-Write
Opportunities
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETW	CUSIP 27829C105	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Helen Peters	Mgmt	For	For	For
1.2	Elect Lynn Stout	Mgmt	For	For	For
1.3	Elect Ralph Verni	Mgmt	For	For	For

Eaton Vance
Tax-Managed
Global
Buy-Write
Opportunities
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETW	CUSIP 27829C105	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Helen Peters	Mgmt	For	Withhold	Agains
1.2	Elect Lynn Stout	Mgmt	For	Withhold	Agains
1.3	Elect Ralph Verni	Mgmt	For	Withhold	Agains

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Emerson Electric
Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
EMR	CUSIP 291011104	02/01/2011	Unvoted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect David Farr	Mgmt	For	N/A	N/A
1.2	Elect Harriet Green	Mgmt	For	N/A	N/A
1.3	Elect Charles Peters	Mgmt	For	N/A	N/A
1.4	Elect Joseph Prueher	Mgmt	For	N/A	N/A
1.5	Elect Rozanne Ridgway	Mgmt	For	N/A	N/A
2	Re-approval of the Performance Measures under the 2006 Incentive Shares Plan	Mgmt	For	N/A	N/A
3	2011 Stock Option Plan	Mgmt	For	N/A	N/A
4	Ratification of Auditor	Mgmt	For	N/A	N/A
5	Advisory Vote on Executive Compensation	Mgmt	For	N/A	N/A
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	N/A	Against
7	Shareholder Proposal Regarding Sustainability Report	ShrHldr	Against	N/A	N/A

Exxon Mobil Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
XOM	CUSIP 30231G102	05/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Michael Boskin	Mgmt	For	For	For
1.2	Elect Peter Brabeck-Letmathe	Mgmt	For	For	For
1.3	Elect Larry Faulkner	Mgmt	For	For	For
1.4	Elect Jay Fishman	Mgmt	For	For	For
1.5	Elect Kenneth Frazier	Mgmt	For	For	For
1.6	Elect William George	Mgmt	For	For	For
1.7	Elect Marilyn Nelson	Mgmt	For	For	For
1.8	Elect Samuel Palmisano	Mgmt	For	For	For
1.9	Elect Steven Reinemund	Mgmt	For	For	For
1.10	Elect Rex Tillerson	Mgmt	For	For	For
1.11	Elect Edward Whitacre, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive	Mgmt	For	Against	Against

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Compensation					
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
6	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
7	Shareholder Proposal Regarding Sexual Orientation and Gender identity Anti-Bias Policy	ShrHldr	Against	For	Against
8	Shareholder Proposal Regarding Human Right to Water	ShrHldr	Against	Against	For
9	Shareholder Proposal Regarding Report on Oil Sands Operations	ShrHldr	Against	Against	For
10	Shareholder Proposal Regarding Report on Hydraulic Fracturing	ShrHldr	Against	Against	For
11	Shareholder Proposal Regarding Report on Technology and Products to Become Environmentally Sustainable	ShrHldr	Against	Against	For
12	Shareholder Proposal Regarding Greenhouse Gas Emission Goals	ShrHldr	Against	Against	For

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 First Trust
 Strategic High
 Income
 Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
FHI	CUSIP 337347108	04/18/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Robert Keith	Mgmt	For	For	For

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First Trust
Strategic High
Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
FHI	CUSIP 337347108	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Keith	Mgmt	For	Against	Against

First Trust
Strategic High
Income Fund
II

Ticker	Security ID:	Meeting Date	Meeting Status		
FHY	CUSIP 337353106	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Keith	Mgmt	For	For	For

First Trust
Strategic High
Income Fund
II

Ticker	Security ID:	Meeting Date	Meeting Status		
FHY	CUSIP 337353106	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Keith	Mgmt	For	Against	Against

First Trust
Strategic High
Income Fund
II

Ticker	Security ID:	Meeting Date	Meeting Status		
FHY	CUSIP 337353106	12/06/2010	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag

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					Mgmt
1	Approval of a New Investment Management Agreement	Mgmt	For	For	For
2	Approval of a New Sub-Advisory Agreement	Mgmt	For	For	For

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 First Trust
 Strategic High
 Income Fund
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Keith	Mgmt	For	For	For

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 First Trust
 Strategic High
 Income Fund
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Keith	Mgmt	For	Against	Against

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 First Trust
 Strategic High
 Income Fund
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	12/06/2010	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Approval of a New Investment Management Agreement	Mgmt	For	For	For

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2	Approval of a New Sub-Advisory Agreement	Mgmt	For	For	For
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 First Trust
 Strategic High
 Income Fund
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	12/06/2010	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

1	Approval of a New Investment Management Agreement	Mgmt	For	Against	Against
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2	Approval of a New Sub-Advisory Agreement	Mgmt	For	Against	Against
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 First Trust
 Strategic High
 Income Fund
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	12/06/2010	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

1	Approval of a New Investment Management Agreement	Mgmt	For	Abstain	Against
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2	Approval of a New Sub-Advisory Agreement	Mgmt	For	Abstain	Against
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 Ford Motor Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
F	CUSIP 345370860	05/12/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1	Elect Stephen Butler	Mgmt	For	For	For
2	Elect Kimberly Casiano	Mgmt	For	For	For
3	Elect Anthony Earley, Jr.	Mgmt	For	Against	Against
4	Elect Edsel Ford II	Mgmt	For	Against	Against
5	Elect William Ford, Jr.	Mgmt	For	For	For
6	Elect Richard Gephardt	Mgmt	For	For	For
7	Elect James Hance, Jr.	Mgmt	For	For	For
8	Elect Irvine Hockaday, Jr.	Mgmt	For	For	For
9	Elect Richard Manoogian	Mgmt	For	Against	Against
10	Elect Ellen Marram	Mgmt	For	For	For
11	Elect Alan Mulally	Mgmt	For	For	For
12	Elect Homer Neal	Mgmt	For	For	For
13	Elect Gerald Shaheen	Mgmt	For	For	For
14	Elect John Thornton	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Shareholder Proposal Regarding Publishing Political Contributions and Expenditure in Newspapers	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Recapitalization Plan	ShrHldr	Against	For	Against
20	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against

Freeport-McMoran
Copper & Gold
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FCX	CUSIP 35671D857	06/15/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Richard Adkerson	Mgmt	For	For	For
1.2	Elect Robert Allison, Jr.	Mgmt	For	Withhold	Against
1.3	Elect Robert Day	Mgmt	For	For	For
1.4	Elect Gerald Ford	Mgmt	For	For	For
1.5	Elect H. Devon Graham, Jr.	Mgmt	For	For	For
1.6	Elect Charles Krulak	Mgmt	For	For	For
1.7	Elect Bobby Lackey	Mgmt	For	For	For
1.8	Elect Jon Madonna	Mgmt	For	For	For
1.9	Elect Dustan McCoy	Mgmt	For	For	For
1.10	Elect James Moffett	Mgmt	For	For	For

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1.11	Elect B. M. Rankin, Jr.	Mgmt	For	Withhold	Against
1.12	Elect Stephen Siegele	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHldr	Against	Against	For

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 General American
 Investors Co.,
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GAM	CUSIP 368802104	04/13/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Rodney Berens	Mgmt	For	For	For
1.2	Elect Lewis Cullman	Mgmt	For	For	For
1.3	Elect Spencer Davidson	Mgmt	For	For	For
1.4	Elect Gerald Edelman	Mgmt	For	For	For
1.5	Elect John Gordan, III	Mgmt	For	For	For
1.6	Elect Betsy Gotbaum	Mgmt	For	For	For
1.7	Elect Sidney Knafel	Mgmt	For	For	For
1.8	Elect Daniel Neidich	Mgmt	For	For	For
1.9	Elect D. Ellen Shuman	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

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 General American
 Investors Co.,
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GAM	CUSIP 368802104	04/13/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Rodney Berens	Mgmt	For	Withhold	Against
1.2	Elect Lewis Cullman	Mgmt	For	Withhold	Against
1.3	Elect Spencer Davidson	Mgmt	For	Withhold	Against
1.4	Elect Gerald Edelman	Mgmt	For	Withhold	Against
1.5	Elect John Gordan, III	Mgmt	For	Withhold	Against
1.6	Elect Betsy Gotbaum	Mgmt	For	Withhold	Against
1.7	Elect Sidney Knafel	Mgmt	For	Withhold	Against
1.8	Elect Daniel Neidich	Mgmt	For	Withhold	Against

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1.9	Elect D. Ellen Shuman	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	Against	Against

General American
Investors Co.,
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GAM	CUSIP 368802104	04/13/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Rodney Berens	Mgmt	For	Withhold	Against
1.2	Elect Lewis Cullman	Mgmt	For	Withhold	Against
1.3	Elect Spencer Davidson	Mgmt	For	Withhold	Against
1.4	Elect Gerald Edelman	Mgmt	For	Withhold	Against
1.5	Elect John Gordan, III	Mgmt	For	Withhold	Against
1.6	Elect Betsy Gotbaum	Mgmt	For	Withhold	Against
1.7	Elect Sidney Knafel	Mgmt	For	Withhold	Against
1.8	Elect Daniel Neidich	Mgmt	For	Withhold	Against
1.9	Elect D. Ellen Shuman	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	Abstain	Against

Google Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
GOOG	CUSIP 38259P508	06/02/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Larry Page	Mgmt	For	For	For
1.2	Elect Sergey Brin	Mgmt	For	For	For
1.3	Elect Eric Schmidt	Mgmt	For	For	For
1.4	Elect L. John Doerr	Mgmt	For	For	For
1.5	Elect John Hennessy	Mgmt	For	Withhold	Against
1.6	Elect Ann Mather	Mgmt	For	For	For
1.7	Elect Paul Otellini	Mgmt	For	For	For
1.8	Elect K. Ram Shriram	Mgmt	For	For	For
1.9	Elect Shirley Tilghman	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2004 Stock Plan	Mgmt	For	Against	Against
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
6	Shareholder Proposal Regarding Formation of Sustainability Committee	ShrHldr	Against	Against	For

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7	Shareholder Proposal Regarding Simple Majority Vote	ShrHldr	Against	For	Against
8	Shareholder Proposal Regarding Conflict of Interest Report	ShrHldr	Against	Against	For

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Intel Corp.
Ticker INTC
Meeting Type Annual
Issue No. Description

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
	Security ID: CUSIP 458140100	Meeting Date: 05/19/2011		Meeting Status: Voted	
	Country of Trade: United States				
1	Elect Charlene Barshefsky	Mgmt	For	Against	Against
2	Elect Susan Decker	Mgmt	For	For	For
3	Elect John Donahoe	Mgmt	For	For	For
4	Elect Reed Hundt	Mgmt	For	For	For
5	Elect Paul Otellini	Mgmt	For	For	For
6	Elect James Plummer	Mgmt	For	For	For
7	Elect David Pottruck	Mgmt	For	For	For
8	Elect Jane Shaw	Mgmt	For	For	For
9	Elect Frank Yeary	Mgmt	For	For	For
10	Elect David Yoffie	Mgmt	For	Against	Against
11	Ratification of Auditor	Mgmt	For	For	For
12	Amendment to the 2006 Equity Incentive Plan	Mgmt	For	For	For
13	Amendment to the 2006 Stock Purchase Plan	Mgmt	For	For	For
14	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Invesco Van Kampen Bond Fund

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
	Security ID: CUSIP 46132L107	Meeting Date: 06/17/2011		Meeting Status: Voted	
	Country of Trade: United States				
1.1	Elect David Arch	Mgmt	For	For	For
1.2	Elect Howard Kerr	Mgmt	For	For	For

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1.3	Elect Jerry Choate	Mgmt	For	For	For
1.4	Elect Suzanne Woolsey	Mgmt	For	For	For

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Invesco Van
Kampen Trust
Insured

Ticker	Security ID:	Meeting Date	Meeting Status		
VIM	CUSIP 46131L108	06/17/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect David Arch	Mgmt	For	For	For
1.2	Elect Howard Kerr	Mgmt	For	For	For
1.3	Elect Jerry Choate	Mgmt	For	For	For
1.4	Elect Suzanne Woolsey	Mgmt	For	For	For

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Invesco Van
Kampen Trust
Insured

Ticker	Security ID:	Meeting Date	Meeting Status		
VIM	CUSIP 46131L108	06/17/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect David Arch	Mgmt	For	Abstain	Agains
1.2	Elect Howard Kerr	Mgmt	For	Abstain	Agains
1.3	Elect Jerry Choate	Mgmt	For	Abstain	Agains
1.4	Elect Suzanne Woolsey	Mgmt	For	Abstain	Agains

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Johnson & Johnson

Ticker	Security ID:	Meeting Date	Meeting Status		
JNJ	CUSIP 478160104	04/28/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Mary Coleman	Mgmt	For	Against	Agains
2	Elect James Cullen	Mgmt	For	For	For
3	Elect Ian Davis	Mgmt	For	For	For
4	Elect Michael Johns	Mgmt	For	Against	Agains
5	Elect Susan Lindquist	Mgmt	For	For	For
6	Elect Anne Mulcahy	Mgmt	For	Against	Agains
7	Elect Leo Mullin	Mgmt	For	For	For
8	Elect William Perez	Mgmt	For	Against	Agains
9	Elect Charles Prince	Mgmt	For	Against	Agains

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10	Elect David Satcher	Mgmt	For	For	For
11	Elect William Weldon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Shareholder Proposal Regarding Pharmaceutical Price Restraints	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Policy on Health Status Discrimination	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Animal Welfare	ShrHldr	Against	Against	For

JP Morgan Chase
&
Company

Ticker	Security ID:	Meeting Date	Meeting Status		
JPM	CUSIP 46625H100	05/17/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Issue No.	Description				
1	Elect Crandall Bowles	Mgmt	For	For	For
2	Elect Stephen Burke	Mgmt	For	For	For
3	Elect David Cote	Mgmt	For	For	For
4	Elect James Crown	Mgmt	For	For	For
5	Elect James Dimon	Mgmt	For	For	For
6	Elect Ellen Futter	Mgmt	For	Against	Against
7	Elect William Gray, III	Mgmt	For	For	For
8	Elect Laban Jackson, Jr.	Mgmt	For	For	For
9	Elect David Novak	Mgmt	For	Against	Against
10	Elect Lee Raymond	Mgmt	For	For	For
11	Elect William Weldon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Amendment to the Long-Term Incentive Plan	Mgmt	For	For	For
16	Shareholder Proposal Regarding Affirmation of Political Nonpartisanship	ShrHldr	Against	Against	For

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17	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
18	Shareholder Proposal Regarding Mortgage Loan Servicing	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against
20	Shareholder Proposal Regarding Genocide-Free Investing	ShrHldr	Against	Against	For
21	Shareholder Proposal Regarding an Independent Lead Director	ShrHldr	Against	Against	For

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Morgan Stanley
Eastern Europe
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
RNE	CUSIP 616988101	06/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Kathleen Dennis	Mgmt	For	Against	Against
1.2	Elect Joseph Kearns	Mgmt	For	Against	Against
1.3	Elect Michael Nugent	Mgmt	For	Against	Against
1.4	Elect Fergus Reid	Mgmt	For	Against	Against

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Morgan Stanley
Eastern Europe
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
RNE	CUSIP 616988101	06/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Kathleen Dennis	Mgmt	For	For	For
1.2	Elect Joseph Kearns	Mgmt	For	For	For
1.3	Elect Michael Nugent	Mgmt	For	For	For
1.4	Elect Fergus Reid	Mgmt	For	For	For

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Morgan Stanley
Income
Securities

Ticker	Security ID:	Meeting Date	Meeting Status		
ICB	CUSIP 61745P874	06/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Frank Bowman	Mgmt	For	For	For
1.2	Elect Michael Bozic	Mgmt	For	For	For
1.3	Elect James Higgins	Mgmt	For	For	For

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New Ireland
Fund,
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
IRL	CUSIP 645673104	06/07/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Margaret Duffy	Mgmt	For	For	For
2	Approval of a New Investment Advisory Agreement	Mgmt	For	For	For

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New Ireland
Fund,
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
IRL	CUSIP 645673104	06/07/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Margaret Duffy	Mgmt	For	Against	Against
2	Approval of a New Investment Advisory Agreement	Mgmt	For	Against	Against

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New Ireland

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Fund,
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
IRL	CUSIP 645673104	06/07/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Margaret Duffy	Mgmt	For	Against	Agains
2	Approval of a New Investment Advisory Agreement	Mgmt	For	Abstain	Agains

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Nuveen Equity
Premium Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
JPZ	CUSIP 6706ER101	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	For	For
1.2	Elect David Kundert	Mgmt	For	For	For
1.3	Elect Terence Toth	Mgmt	For	For	For

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Nuveen Equity
Premium Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
JPZ	CUSIP 6706ER101	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	Withhold	Agains
1.2	Elect David Kundert	Mgmt	For	Withhold	Agains
1.3	Elect Terence Toth	Mgmt	For	Withhold	Agains

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Nuveen Equity
Premium
Opportunity
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
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JSN	CUSIP 6706EM102	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	For	For
1.2	Elect David Kundert	Mgmt	For	For	For
1.3	Elect Terence Toth	Mgmt	For	For	For

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Nuveen Equity
Premium
Opportunity
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
JSN	CUSIP 6706EM102	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	Withhold	Agains
1.2	Elect David Kundert	Mgmt	For	Withhold	Agains
1.3	Elect Terence Toth	Mgmt	For	Withhold	Agains

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Nuveen
Multi-Currency
Short-Term
Government
Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
JGT	CUSIP 67090N109	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	For	For
1.2	Elect David Kundert	Mgmt	For	For	For
1.3	Elect Terence Toth	Mgmt	For	For	For

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Nuveen
Multi-Currency
Short-Term
Government
Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
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JGT	CUSIP 67090N109	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	Withhold	Agains
1.2	Elect David Kundert	Mgmt	For	Withhold	Agains
1.3	Elect Terence Toth	Mgmt	For	Withhold	Agains

Nuveen Tax
Advantaged

Ticker	Security ID:	Meeting Date	Meeting Status		
JTA	CUSIP 67090H102	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	Withhold	Agains
1.2	Elect David Kundert	Mgmt	For	Withhold	Agains
1.3	Elect Terence Toth	Mgmt	For	Withhold	Agains

Nuveen Tax
Advantaged
Total Return
Strategy
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
JTA	CUSIP 67090H102	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	For	For
1.2	Elect David Kundert	Mgmt	For	For	For
1.3	Elect Terence Toth	Mgmt	For	For	For

Reaves Utility
Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
UTG	CUSIP 756158101	04/29/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Mary Anstine	Mgmt	For	For	For

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1.2	Elect Michael Holland	Mgmt	For	Withhold	Against
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Rivus Bond Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
BDF	CUSIP 769667106	06/15/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect W. Thacher Brown	Mgmt	For	For	For
1.2	Elect Suzanne Welsh	Mgmt	For	For	For
1.3	Elect Morris Lloyd, Jr.	Mgmt	For	For	For
1.4	Elect Ellen Harvey	Mgmt	For	For	For
2	Transaction of Other Business	Mgmt	For	For	For

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Rivus Bond Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
BDF	CUSIP 769667106	06/15/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect W. Thacher Brown	Mgmt	For	Abstain	Against
1.2	Elect Suzanne Welsh	Mgmt	For	Abstain	Against
1.3	Elect Morris Lloyd, Jr.	Mgmt	For	Abstain	Against
1.4	Elect Ellen Harvey	Mgmt	For	Abstain	Against
2	Transaction of Other Business	Mgmt	For	Abstain	Against

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Rivus Bond Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
BDF	CUSIP 769667106	06/15/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect W. Thacher Brown	Mgmt	For	Abstain	Against
1.2	Elect Suzanne Welsh	Mgmt	For	Abstain	Against
1.3	Elect Morris Lloyd, Jr.	Mgmt	For	Abstain	Against
1.4	Elect Ellen Harvey	Mgmt	For	Abstain	Against
2	Transaction of Other Business	Mgmt	For	Against	Against

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SunAmerica
Focused Alpha
Growth Fund,
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
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FGF	CUSIP 867037103	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Richard Grant	Mgmt	For	Withhold	Agains
1.2	Elect Stephen Gutman	Mgmt	For	Withhold	Agains
1.3	Elect Peter Harbeck	Mgmt	For	Withhold	Agains
2	Shareholder Proposal Regarding Termination of Management and Advisory	ShrHldr	Against	Against	For

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SunAmerica
Focused Alpha
Growth Fund,
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FGF	CUSIP 867037103	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Richard Grant	Mgmt	For	For	For
1.2	Elect Stephen Gutman	Mgmt	For	For	For
1.3	Elect Peter Harbeck	Mgmt	For	For	For
2	Shareholder Proposal Regarding Termination of Management and Advisory Agreements	ShrHldr	Against	For	Agains

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SunAmerica
Focused Alpha
Growth Fund,
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FGF	CUSIP 867037103	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Richard Grant	Mgmt	For	Withhold	Agains
1.2	Elect Stephen Gutman	Mgmt	For	Withhold	Agains
1.3	Elect Peter Harbeck	Mgmt	For	Withhold	Agains
2	Shareholder Proposal Regarding Termination of Management and Advisory Agreements	ShrHldr	Against	Abstain	Agains

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SunAmerica
 Focused Alpha
 Large-Cap Fund,
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FGI	CUSIP 867038101	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Richard Grant	Mgmt	For	Withhold	Agains
1.2	Elect Stephen Gutman	Mgmt	For	Withhold	Agains
1.3	Elect Peter Harbeck	Mgmt	For	Withhold	Agains
2	Shareholder Proposal Regarding Termination of Management and Advisory Agreements	ShrHldr	Against	Against	For

SunAmerica
 Focused Alpha
 Large-Cap Fund,
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FGI	CUSIP 867038101	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Richard Grant	Mgmt	For	For	For
1.2	Elect Stephen Gutman	Mgmt	For	For	For
1.3	Elect Peter Harbeck	Mgmt	For	For	For
2	Shareholder Proposal Regarding Termination of Management and Advisory Agreements	ShrHldr	Against	For	Agains

SunAmerica
 Focused Alpha
 Large-Cap Fund,
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FGI	CUSIP 867038101	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1.1	Elect Richard Grant	Mgmt	For	Withhold	Against
1.2	Elect Stephen Gutman	Mgmt	For	Withhold	Against
1.3	Elect Peter Harbeck	Mgmt	For	Withhold	Against
2	Shareholder Proposal Regarding Termination of Management and Advisory Agreements	ShrHldr	Against	Abstain	Against

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The Gabelli
Healthcare &
Wellness
Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
GRX	CUSIP 36246K103	05/16/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Mario Gabelli	Mgmt	For	For	For
1.2	Elect Vincent Enright	Mgmt	For	For	For
1.3	Elect Anthonie van Ekris	Mgmt	For	For	For

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The Gabelli
Healthcare &
Wellness
Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
GRX	CUSIP 36246K103	05/16/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Mario Gabelli	Mgmt	For	Withhold	Against
1.2	Elect Vincent Enright	Mgmt	For	Withhold	Against
1.3	Elect Anthonie van Ekris	Mgmt	For	Withhold	Against

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Time Warner Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
TWX	CUSIP 887317303	05/20/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1	Elect James Barksdale	Mgmt	For	For	For
2	Elect William Barr	Mgmt	For	For	For
3	Elect Jeffrey Bewkes	Mgmt	For	For	For
4	Elect Stephen Bollenbach	Mgmt	For	For	For
5	Elect Frank Caufield	Mgmt	For	For	For
6	Elect Robert Clark	Mgmt	For	Against	Against
7	Elect Mathias Dopfner	Mgmt	For	For	For
8	Elect Jessica Einhorn	Mgmt	For	For	For
9	Elect Fred Hassan	Mgmt	For	For	For
10	Elect Michael Miles	Mgmt	For	Against	Against
11	Elect Kenneth Novack	Mgmt	For	Against	Against
12	Elect Paul Wachter	Mgmt	For	For	For
13	Elect Deborah Wright	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
17	Elimination of Absolute Majority Voting Requirement	Mgmt	For	For	For
18	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

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 Transamerica
 Income Shares
 Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
TAI	CUSIP 893506105	03/18/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Special	United States				Mgmt
Issue No.	Description				
1	Approval of a New Sub-Advisory Agreement	Mgmt	For	For	For

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 Union Pacific
 Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
UNP	CUSIP 907818108	05/05/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				

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1	Elect Andrew Card, Jr.	Mgmt	For	For	For
2	Elect Erroll Davis, Jr.	Mgmt	For	For	For
3	Elect Thomas Donohue	Mgmt	For	For	For
4	Elect Archie Dunham	Mgmt	For	For	For
5	Elect Judith Richards Hope	Mgmt	For	For	For
6	Elect Charles Krulak	Mgmt	For	For	For
7	Elect Michael McCarthy	Mgmt	For	For	For
8	Elect Michael McConnell	Mgmt	For	For	For
9	Elect Thomas McLarty III	Mgmt	For	For	For
10	Elect Steven Rogel	Mgmt	For	For	For
11	Elect Jose Villarreal	Mgmt	For	Against	Against
12	Elect James Young	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Actions adversely affecting preferred stock	Mgmt	For	For	For
17	Removal of directors	Mgmt	For	For	For
18	Changing the authorized amount of capital stock.	Mgmt	For	For	For
19	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

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 United Technologies Corp.

Ticker	Security ID:	Meeting Date	Meeting Status				
UTX	CUSIP 913017109	04/13/2011	Voted	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Meeting Type	Country of Trade						
Annual	United States						
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt		
1	Elect Louis Chenevert	Mgmt	For	For	For		
2	Elect John Faraci	Mgmt	For	For	For		
3	Elect Jean-Pierre Garnier	Mgmt	For	For	For		
4	Elect Jamie Gorelick	Mgmt	For	For	For		
5	Elect Edward Kangas	Mgmt	For	For	For		
6	Elect Ellen Kullman	Mgmt	For	For	For		
7	Elect Charles Lee	Mgmt	For	For	For		
8	Elect Richard McCormick	Mgmt	For	For	For		
9	Elect Harold McGraw III	Mgmt	For	For	For		
10	Elect Richard Myers	Mgmt	For	For	For		
11	Elect H. Patrick Swygert	Mgmt	For	For	For		
12	Elect Andre Villeneuve	Mgmt	For	For	For		

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13	Elect Christine Whitman	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Amendment to the 2005 Long-Term Incentive Plan	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	N/A	3 Years	N/A
18	Shareholder Proposal Regarding Retention of Shares	ShrHldr	Against	For	Against

Wal-Mart Stores, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WMT	CUSIP 931142103	06/03/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual Issue No.	Description				
1	Elect Aida Alvarez	Mgmt	For	For	For
2	Elect James Breyer	Mgmt	For	For	For
3	Elect M. Michele Burns	Mgmt	For	For	For
4	Elect James Cash, Jr.	Mgmt	For	For	For
5	Elect Roger Corbett	Mgmt	For	For	For
6	Elect Douglas Daft	Mgmt	For	For	For
7	Elect Michael Duke	Mgmt	For	For	For
8	Elect Gregory Penner	Mgmt	For	For	For
9	Elect Steven Reinemund	Mgmt	For	For	For
10	Elect H. Lee Scott, Jr.	Mgmt	For	For	For
11	Elect Arne Sorenson	Mgmt	For	For	For
12	Elect Jim Walton	Mgmt	For	For	For
13	Elect S. Robson Walton	Mgmt	For	For	For
14	Elect Christopher Williams	Mgmt	For	For	For
15	Elect Linda Wolf	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
18	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
19	Shareholder Proposal Regarding Adopting Gender Identity Anti-Bias Policy	ShrHldr	Against	Against	For
20	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against

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21	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
22	Shareholder Proposal Regarding Supplier Sustainability Reports	ShrHldr	Against	Against	For
23	Shareholder Proposal Regarding Climate Change Risk Report	ShrHldr	Against	Against	For

Western Asset
Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
PAI	CUSIP 95766T100	05/17/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Ronald Arnault	Mgmt	For	For	For
1.2	Elect Anita DeFrantz	Mgmt	For	For	For
1.3	Elect Ronald Olson	Mgmt	For	For	For
1.4	Elect William Siart	Mgmt	For	For	For
1.5	Elect Jaynie Studenmund	Mgmt	For	For	For
1.6	Elect Avedick Poladian	Mgmt	For	For	For
1.7	Elect R. Jay Gerken	Mgmt	For	For	For

Western Asset
Income
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
PAI	CUSIP 95766T100	05/17/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Ronald Arnault	Mgmt	For	Withhold	Against
1.2	Elect Anita DeFrantz	Mgmt	For	Withhold	Against
1.3	Elect Ronald Olson	Mgmt	For	Withhold	Against
1.4	Elect William Siart	Mgmt	For	Withhold	Against
1.5	Elect Jaynie Studenmund	Mgmt	For	Withhold	Against
1.6	Elect Avedick Poladian	Mgmt	For	Withhold	Against
1.7	Elect R. Jay Gerken	Mgmt	For	Withhold	Against

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Western Asset
Investment
Grade Defined
Opportunity
Trust
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
IGI	CUSIP 95790A101	03/31/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Leslie Gelb	Mgmt	For	For	For
1.2	Elect William Hutchinson	Mgmt	For	For	For
1.3	Elect R. Jay Gerken	Mgmt	For	For	For

Western Asset
Municipal High
Income Fund
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MHF	CUSIP 95766N103	02/28/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect William Hutchinson	Mgmt	For	For	For
1.2	Elect Jeswald Salacuse	Mgmt	For	For	For

Zweig Total
Return Fund
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
ZTR	CUSIP 989837109	05/10/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Wendy Luscombe	Mgmt	For	For	For
1.2	Elect R. Keith Walton	Mgmt	For	For	For

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Zweig Total
Return Fund
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
ZTR	CUSIP 989837109	05/10/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1.1	Elect Wendy Luscombe	Mgmt	For	Withhold	Agains
1.2	Elect R. Keith Walton	Mgmt	For	Withhold	Agains