

Williams James P  
Form 4  
February 02, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Williams James P

2. Issuer Name and Ticker or Trading Symbol  
POLARIS INDUSTRIES INC/MN [PII]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
2100 HIGHWAY 55  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/31/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
SVP-CHRO

MEDINA, MN 55340  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned SEC USE ONLY

#### CITIZENSHIP OR PLACE OF ORGANIZATION

4.

WYOMING

#### SOLE VOTING POWER

5.

NUMBER OF

8,655,722

SHARES

#### SHARED VOTING POWER

6.

BENEFICIALLY

OWNED BY 136,668

EACH REPORTING PERSON 7. SOLE DISPOSITIVE POWER 8,655,722

WITH 8. SHARED DISPOSITIVE POWER 136,668

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

8,792,390

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

21.0 %

12. TYPE OF REPORTING PERSON\*

OO

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

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CUSIP No. 007973 10 0

13G

Page 3 of 7 Pages

1. NAME OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Douglas S. Schatz

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

2. (a)   
(b)

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION  
UNITED STATES

5. SOLE VOTING POWER  
NUMBER OF 8,655,722

6. SHARED VOTING POWER  
SHARES BENEFICIALLY OWNED BY 136,668

7. SOLE DISPOSITIVE POWER  
EACH REPORTING PERSON 8,655,722

8. SHARED DISPOSITIVE POWER  
WITH 136,668

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
8,792,390

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

10.

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11.

21.0 %

TYPE OF REPORTING PERSON\*

12.

IN

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

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CUSIP No. 007973 10 0

13G

Page 4 of 7 Pages

1. NAME OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Jill E. Schatz

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

2. (a)   
(b)

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION  
UNITED STATES

	5.	SOLE VOTING POWER
NUMBER OF		8,655,722

SHARES	6.	SHARED VOTING POWER
BENEFICIALLY		
OWNED BY		136,668

EACH	7.	SOLE DISPOSITIVE POWER
REPORTING		
PERSON		8,655,722

WITH	8.	SHARED DISPOSITIVE POWER
		136,668

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
8,792,390

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

10.

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11.

21.0 %

TYPE OF REPORTING PERSON\*

12.

IN

**\*SEE INSTRUCTIONS BEFORE FILLING OUT!**

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Item 1(a). Name of Issuer

Advanced Energy Industries, Inc.

Item 1(b). Address of Issuer's Principal Executive Offices

1625 Sharp Point Drive  
Fort Collins, CO 80525

Item 2(a). Names of Person Filing

Douglas S. Schatz & Jill E. Schatz Family Trust  
Douglas S. Schatz  
Jill E. Schatz

Item 2(b). Address of Principal Business Office or, if None, Residence

PO Box 481  
Fort Collins, CO 80522

Item 2(c). Citizenship

Douglas S. Schatz & Jill E. Schatz Family Trust Wyoming  
Douglas S. Schatz United States  
Jill E. Schatz United States

Item 2(d). Title of Class of Securities

Common Stock, \$0.001 par value

Item 2(e). CUSIP Number

007973 10 0

Item 3. If this statement is filed pursuant to Rule 13d-1(b) or 13d-2(b) or (c), check whether the person filing is a:

Not applicable

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Item 4. Ownership

(a) Amount Beneficially Owned:

Douglas S. Schatz & Jill E. Schatz Family Trust **8,792,390** shares

Douglas S. Schatz **8,792,390** shares

Jill E. Schatz **8,792,390** shares

(b) Percent of Class:

Douglas S. Schatz & Jill E. Schatz Family Trust **21.0 %**

Douglas S. Schatz **21.0 %**

Jill E. Schatz **21.0 %**

(c) Number of shares as to which such person has:

Douglas S. Schatz & Jill E. Schatz Family Trust and Douglas S. Schatz:

(i) sole power to vote or to direct the vote: 8,655,722

(ii) shared power to vote or to direct the vote: 136,668\*\*

(iii) sole power to dispose or to direct the disposition of: 8,655,722

(iv) shared power to dispose or to direct the disposition of: 136,668\*\*

Jill E. Schatz:

(i) sole power to vote or to direct the vote: 8,655,722

(ii) shared power to vote or to direct the vote: 136,668\*\*

(iii) sole power to dispose or to direct the disposition of: 8,655,722

(iv) shared power to dispose or to direct the disposition of: 136,668\*\*

\*\* Mr. and Mrs. Schatz are trustees of a charitable foundation that is the record holder of 136,668 shares of common



stock of the issuer. The two other trustees of the charitable foundation are members of Mr. and Mrs. Schatz's immediate family.

Accordingly, Mr. Schatz and Mrs. may be deemed to share with the other trustees voting and dispositive power with

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respect to the  
charitable  
foundation s  
136,668 shares.  
Mr. and  
Mrs. Schatz  
disclaim  
beneficial  
ownership of  
the shares held  
by the charitable  
foundation.

Item 5. Ownership of Five Percent or Less of a Class

Not applicable

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported By the Parent Holding Company

Not applicable

Item 8. Identification and Classification of Members of the Group

Not applicable

Item 9. Notice of Dissolution of Group

Not applicable

Item 10. Certification

Not applicable

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 13, 2009

DOUGLAS S. SCHATZ &  
JILL E. SCHATZ FAMILY TRUST

By: /s/ Douglas S. Schatz  
Douglas S. Schatz, Trustee

By: /s/ Jill E. Schatz  
Jill E. Schatz, Trustee

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By: /s/ Douglas S. Schatz  
Douglas S. Schatz

By: /s/ Jill E. Schatz  
Jill E. Schatz