Edgar Filing: SHAWLEY STEVEN R - Form 4

SHAWLEY ST Form 4	EVEN R												
February 18, 20	11												
FORM 4	1 UNITED ST		CLIDIT	TEC AN	DEV		NC		MARCION		PROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549						JMIMISSION	OMB Number:	3235-0287					
Check this be if no longer subject to Section 16.		F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: January 3 ⁻ 200 Estimated average burden hours per					
	Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							1935 or Section	response	0.5			
(Print or Type Resp	oonses)												
1. Name and Address of Reporting Person <u>*</u> SHAWLEY STEVEN R			2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Mide	C	Ingersoll-Rand plc [IR] (Chec 3. Date of Earliest Transaction						(Check	ek all applicable)			
C/O INGERSC COMPANY, O AVENUE	DLL-RAND DNE CENTENNI	02/	nth/Day/ 16/201						Director X Officer (give t below) Senior Vice		Owner r (specify CFO		
	(Street)	Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting						
PISCATAWAY	Y, NJ 08854								Person	ore than One Rej	porting		
(City)	(State) (Zip))	Table I	- Non-Der	ivative	Secur	ities	s Acqu	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Pr)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Ordinary Shares (Restricted Share Units) (1)	02/16/2011			F	1,58 (2))	\$ 0	23,906 (<u>3)</u>	D			
2009 - 2010 Performance Share Units (4)									44,524	D			
2009 - 2011 Performance Share Units (5)									44,524	D			

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2010 - 2012 Performance Share Units (6)	29,280	D	
2011-2013 Performance Share Units (7)	19,542	D	
Ordinary Shares	61,759 <u>(8)</u>	D	
Ordinary Shares (9)	3,820.35	I	By Plan Trustee
Ordinary Shares (Deferred ISP) (10)	17,130.55	D	
Ordinary Shares (EDCP) (11)	30,519.89	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

SHAWLEY STEVEN R C/O INGERSOLL-RAND COMPANY ONE CENTENNIAL AVENUE PISCATAWAY, NJ 08854

Senior Vice President and CFO

Signatures

/s/ Kenneth Yi -Attorney-in-Fact

02/18/2011

Date

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Share Units vest in three (3) equal annual installments beginning on the first anniversary of the date of grant. Upon vesting, each unit is convertible into one (1) ordinary share of the Issuer.
- (2) Represents shares forfeited pursuant to a net settlement procedure for Restricted Share Units.
- (3) Amount reflects the reduction of forfeited net settlement shares as well as the resulting net shares that have vested and are now held directly as ordinary shares by the Reporting Person.
- Represents target number of Performance Shares. The actual number of shares to be issued, which could range from zero to two times
 (4) the initial target amount, will depend upon the Issuer's financial performance during the relevant performance period relative to peer companies in the S&P 500 Industrial Index. The shares, if any, will be issued in the first quarter of 2011.

Represents target number of Performance Shares. The actual number of shares to be issued, which could range from zero to two times(5) the initial target amount, will depend upon the Issuer's financial performance during the relevant performance period relative to peer companies in the S&P 500 Industrial Index. The shares, if any, will be issued in the first quarter of 2012.

Represents target number of Performance Shares. The actual number of shares to be issued, which could range from zero to two times the initial target amount, will depend upon the Issuer's financial performance during the relevant performance period relative to peer companies in the S&P 500 Industrial Index. The shares, if any, will be issued in the first quarter of 2013.

Represents target number of Performance Shares. The actual number of shares to be issued, which could range from zero to two times
(7) the initial target amount, will depend upon the Issuer's financial performance during the relevant performance period relative to peer companies in the S&P 500 Industrial Index. The shares, if any, will be issued in the first quarter of 2014.

- (8) Includes the ordinary shares acquired pursuant to the net settlement procedure for the vested Restricted Share Units (described in this filing).
- (9) Latest available information provided by the trustee of the Ingersoll-Rand Employee Savings Plan.
- (10) Deferred distribution of stock grants awarded under the Company's Incentive Stock Plan. Deferred shares reflect any accrued dividends on such shares. These shares were previously included with the ordinary shares held by the Reporting Person.

Represents vested units acquired or deferred under the IR Executive Deferred Compensation Plan (the "EDCP"). The units are to be (11) converted on a one-for-one basis and settled in shares upon the reporting person's termination of employment with the issuer, or earlier

or later upon certain elections.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.