### Edgar Filing: BUTH JAY S. - Form 4

BUTH JAY S.

Form 4								
June 05, 2012							OMB APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287	
Check thi if no long subject to	ger STATEM	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF					January 31, 2005 average	
Section 1 Form 4 or Form 5	r		SECURITIES		A ( 51024	burden hou response	n hours per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
(Print or Type F	Responses)							
BUTH JAY S. Syn			suer Name <b>and</b> Ticker o ol THEAST UTILITII	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (N	(Che			(Checl	ck all applicable)		
(Mor			h/Day/Year)  /2012	Director 10% Owner X Officer (give title Other (specify below) below) VP, Controller, Chief Acct Off				
			mendment, Date Origin Month/Day/Year)	al	<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>			
(City)	(State)	(Zip) T	able I - Non-Derivative	e Securities Acc	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Ye	if Transaction(A) or I Code (Instr. 3	ities Acquired Disposed of (D) , 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common			Code V Amoun	t (D) Price	(Instr. 3 and 4)			
Shares, \$5.00 par value	06/01/2012		F 330 (1)	D \$ 36.01	15,598 <u>(2)</u>	D		
Common Shares, \$5.00 par value					439 <u>(3)</u>	I	401k Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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#### required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and 4	Securities	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Shares	<u>(4)</u>				(4)	<u>(4)</u>	Common Shares, \$5.00 par value	143 <u>(4)</u>	

# **Reporting Owners**

Reporting Owner Name / Addres	5	Relationships						
Toporting of the raine ( raine (	Director	10% Owner	Officer	Other				
BUTH JAY S. C/O NORTHEAST UTILITIE 56 PROSPECT STREET HARTFORD, CT 06103	S		VP, Controller, Chief Acct Off					
Signatures								
Jay S. Buth 0	6/05/2012							

Jay S. Buth

Date

# Reporting Person

\*\*Signature of

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reduction of restricted share units on distribution to satisfy tax withholding obligations.
- Includes restricted share units and dividend equivalents thereon. (2)
- Shares held in trust under the Northeast Utilities Service Company 401k Plan, a qualified plan, according to information supplied by the (3) plan's recordkeeper.

(4)

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Matching contributions on reporting person's deferred compensation under the Northeast Utilities Deferred Compensation Plan for Executives, a non-qualified deferred compensation plan, that are nominally invested as common shares. Each phantom share represents the right to receive the cash value of one NU common share upon a distribution event, following vesting. Additional phantom shares are issued upon the automatic reinvestment of dividend-equivalents exempt from the line item reporting under SEC Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.