Edgar Filing: FRAZEE JOHN P JR - Form 4

| FRAZEE JOF Form 4 | IN P JR | | | | | | | | | | |
|--|---|--------------------|--|--|--|--------------------|----------|--|--|---|--|
| March 05, 20 | | | | | | | | | OMB A | PPROVAL | |
| FORM | SECURITIES AND EXCHANGE (Washington, D.C. 20549 | | | | | COMMISSION | | 3235-0287 | | | |
| Check this if no longe subject to Section 16 Form 4 or Form 5 | er STATI 5. | | GES IN BENEFICIAL OWNERSHIP (SECURITIES | | | | | Expires: January 3 20 Estimated average burden hours per response 0 | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | 'n | | | | |
| (Print or Type Re | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> FRAZEE JOHN P JR | | | 2. Issuer Name and Ticker or Trading Symbol CABOT MICROELECTRONICS CORP [CCMP] | | | | - | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) C/O CABOT MICROELE CORPORAT DRIVE | | (Middle) | 3. Date of (Month/Da 03/05/20 | | Insaction | | | X Director Officer (give below) | | 9 Owner er (specify | |
| | | | | f Amendment, Date Original cd(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| AURORA, II | L 60504 | | | | | | | Form filed by M Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ties Aco | quired, Disposed o | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction I (Month/Day/Ye | ear) Execution any | med on Date, if Day/Year) | 3. Transactic Code (Instr. 8) Code V | 4. Securit onAcquired Disposed (Instr. 3, Amount | l (A) o l of (D |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 03/05/2013 | | | А | 2,000 (1) | А | \$0 | 11,077 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|-----------------------|--------------------|---|--|
| | | | | Code V | (A) (D | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options (Right to Buy) | \$ 35.47 | 03/05/2013 | | А | 6,000 | 03/05/2014 <u>(2)</u> | 03/05/2023 | Common Stock | 6,000 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|--|----------|---------------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| FRAZEE JOHN P JR C/O CABOT MICROELECTRONICS CORPORAT 870 COMMONS DRIVE AURORA, IL 60504 | ΓΙΟΝ X | | | | | | |
| Signatures | | | | | | | |
| /s/ H. Carol Bernstein (Power of Attorney) 03/ | /05/2013 | | | | | | |
| **Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Directors' Annual (2013) Restricted Stock Unit Award pursuant to 2012 Omnibus Incentive Plan ("OIP"); 100% will vest on 3/5/2014.
- (2) Directors' Annual (2013) Non-Qualified Stock Option Grant pursuant to 2012 Omnibus Incentive Plan ("OIP"); 100% will vest on 3/5/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.