AK STEEL HOLDING CORP

Form 3 July 23, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement AK STEEL HOLDING CORP [AKS] Petersen Eric S (Month/Day/Year) 07/18/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 9227 CENTRE POINTE DR (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person WEST CHESTER, OHÂ 45069 (give title below) (specify below) Form filed by More than One VP, Sales & Customer Service Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 17,365 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable at (Instr. 4) Expiration Date (Month/Day/Year)		le and	and 3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	01/20/2006	01/20/2015	Common Stock	1,000	\$ 13.7	D	Â
Employee Stock Option (Right to Buy)	01/19/2007	01/19/2016	Common Stock	2,250	\$ 7.89	D	Â
Employee Stock Option (Right to Buy)	01/18/2008	01/18/2017	Common Stock	3,500	\$ 16.76	D	Â
Employee Stock Option (Right to Buy)	01/17/2009	01/17/2018	Common Stock	1,500	\$ 36.59	D	Â
Employee Stock Option (Right to Buy)	01/21/2010	01/21/2019	Common Stock	4,500	\$ 9.21	D	Â
Employee Stock Option (Right to Buy)	01/20/2011	01/20/2020	Common Stock	2,250	\$ 22.97	D	Â
Employee Stock Option (Right to Buy)	01/19/2012(1)	01/19/2021	Common Stock	3,106	\$ 14.57	D	Â
Employee Stock Option (Right to Buy)	01/18/2013(2)	01/18/2022	Common Stock	5,500	\$ 9.11	D	Â
Employee Stock Option (Right to Buy)	01/23/2014(3)	01/23/2023	Common Stock	5,500	\$ 4.59	D	Â
Performance Shares	12/31/2013	12/31/2013	Common Stock	2,012.5 (4)	\$ (5)	D	Â
Performance Shares	12/31/2014	12/31/2014	Common Stock	3,200 (6)	\$ <u>(7)</u>	D	Â
Performance Shares	12/31/2015	12/31/2015	Common Stock	3,200 (8)	\$ <u>(9)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
- 0	Director	10% Owner	Officer	Other	
Petersen Eric S 9227 CENTRE POINTE DR WEST CHESTER, OH 45069	Â	Â	VP, Sales & Customer Service	Â	

Signatures

/s/ Eric S.
Petersen

**Signature of Reporting Person

Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in three equal annual installments beginning one year after grant date.
- (2) Options vest in three equal annual installments beginning one year after grant date.
- (3) Options vest in three equal annual installments beginning one year after grant date.
- This represents one half of the Performance Shares which were awarded on January 19, 2011 and are required to be reported at this time
- (4) because they constitute derivative securities. The other half do not constitute derivative securities and therefore are not required to be reported at this time.
- Each Performance Share represents a contingent right to receive 0 to 1.5 shares of Common Stock. The conversion rate (0, .5, 1 or 1.5) (5) ultimately applied to these Performance Shares will be determined based on the compounded annual growth rate ("CAGR") of the Common Stock between January 1, 2011 and December 31, 2013 (the "Performance Period").
- This represents one half of the Performance Shares which were awarded on January 18, 2012 and are required to be reported at this time (6) because they constitute derivative securities. The other half do not constitute derivative securities and therefore are not required to be reported at this time.
- Each Performance Share represents a contingent right to receive 0 to 1.5 shares of Common Stock. The conversion rate (0, .5, 1 or 1.5) (7) ultimately applied to these Performance Shares will be determined based on the compounded annual growth rate ("CAGR") of the Common Stock between January 1, 2012 and December 31, 2014 (the "Performance Period").
- This represents one half of the Performance Shares which were awarded on January 23, 2013 and are required to be reported at this time (8) because they constitute derivative securities. The other half do not constitute derivative securities and therefore are not required to be reported at this time.
- Each Performance Share represents a contingent right to receive 0 to 1.5 shares of Common Stock. The conversion rate (0, .5, 1 or 1.5) (9) ultimately applied to these Performance Shares will be determined based on the compounded annual growth rate ("CAGR") of the Common Stock between January 1, 2013 and December 31, 2015 (the "Performance Period").

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.