Edgar Filing: NORTHEAST UTILITIES - Form 4

Form 4 January 17, 2014 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 Leibler Kenneth R Leibler Kenneth R (Kireet) (Stre	NORTHEAS	T UTILITIES											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB 2235-0287 Check this box if no longer subject to Section 16.6, Form 4 or Form 5 of changes in BENEFICIAL OWNERSHIP OF Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Expires: Satinated average burden hours per response (Print or Type Response) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). S. Relationship of Reporting Person(s) to Issuer (Print or Type Response) 2. Issuer Name and Ticker or Trading Symbol NORTHEAST UTILITIES [NU] (Last) S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) (Last) (First) 01/15/2014 Officer (give tilt													
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SPRINOFIELD, MA 01105 Person			_										
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(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of	1 Title of	2 Transaction I	Date 2A Dee								-		
Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect													
(Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial				Code Disposed of (D)				/	-				
			(Month/	Day/Year)	(Instr. 8) (Instr. 3, 4 and 5)			5)			Ownership		
Following (Instr. 4) (Instr. 4) Reported										(Instr. 4)	(Instr. 4)		
(A) Transaction(s)													
Code V Amount (D) Price (Instr. 3 and 4)					Code V	Amount		Price					
Common	Common				coue v	Amount	(D)	The					
Sharas 2.391						2 381							
$\begin{array}{cccccccccccccccccccccccccccccccccccc$		01/15/2014			А		А	\$0	25,247 <u>(2)</u>	D			
value	—					—							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	1		Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting o where remove a remove	Director	10% Owner	Officer	Other		
Leibler Kenneth R C/O NORTHEAST UTILITIES ONE FEDERAL STREET, BUILDING 111-4 SPRINGFIELD, MA 01105				Trustee		
Signatures						
/s/ Kerry J. Tomasevich, attorney-in-fact for Mr Leibler		01/17/2	014			
**Signature of Reporting Person		Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted share units that will vest on January 16, 2014, receipt of which has been deferred. Distribution will be made on a one-for-one basis in Common Shares on the 10th business day of January of the year following the reporting person's retirement from Board services.

(2) The reporting person's total includes restricted share units, receipt of which has been deferred, and dividend equivalents thereon.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.