Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

PRUDENT Form 4 February 13	IAL FINANCIAL	L INC									
FORM	ЛЛ								-	PPROVAL	
	UNITED	STATES		RITIES A			NGE	COMMISSION	OMB Number:	3235-0287	
Check the check									Expires:	January 31,	
Section 16.			F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated average burden hours per		
Form 4 Form 5	Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,						response	. 0.5			
obligation may cor See Instr 1(b).	ons Section 17(a) of the I	Public U		ding Cor	npan	y Act	of 1935 or Sectio	on		
(Print or Type	Responses)										
]			2. Issuer Name and Ticker or Trading Symbol PRUDENTIAL FINANCIAL INC [PRU]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
							INC				
	D STREET, 4TH TTN. CORPORA			of Earliest T Day/Year) 2014	ransaction			Director X Officer (giv below) Senio		% Owner ler (specify nt	
				mendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEWARK	, NJ 07102								More than One R		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secu	rities A	cquired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)(Instr. 3)any (Month/Day/Year)		Date, if	Code Disposed of (D))	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
		C 1 1	c	Code V		(D)	Price	(Instr. 3 and 4)			
Keminder: Re	port on a separate line	e for each cla	ass of sec	urities benef	icially ow	ned di	rectly c	or indirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number of ciorDerivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	e Expiration Date (Month/Day/Year) (A) ed of		ate	7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	/ (A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
2014 Performance Shares	\$ 0 <u>(1)</u>	02/11/2014		А	5,094		(2)	<u>(2)</u>	Common Stock	5,094
2014 Employee Stock Option (Right to Buy)	\$ 84.53	02/11/2014		А	12,988		(3)	02/11/2024	Common Stock	12,98

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Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Silitch Nicholas C 751 BROAD STREET, 4TH FLOOR ATTN. CORPORATE COMPLIANCE NEWARK, NJ 07102			Senior Vice President					
Signatures								
/s/Brian J. Morris, attorney-in-fact	02/13/201	4						
**Signature of Reporting Person	Date							
Explanation of Respon	nses:							

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The performance shares convert to common stock on a 1 to 1 basis.
- (2) Represents the target number of shares to be received relative to the Company's average ROE goals for the 2014 through 2016 performance period. The actual number of shares to be received will be determined by the Compensation Committee in February 2017.
- (3) The options vest in three equal annual installments beginning on February 11, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.