AK STEEL HOLDING CORP

Form 3 June 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement AK STEEL HOLDING CORP [AKS] À Filiatraut Renee S (Month/Day/Year) 05/30/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 9227 CENTRE POINTE DR (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person WEST CHESTER, OHÂ 45069 (give title below) (specify below) Form filed by More than One VP Litigation, Labor & Ext Aff Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 17,886 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$

1. Title of Derivative Security	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of
(Instr. 4)	Expiration Date		Securities Underlying		Conversion	Ownership	Indirect Beneficial
	(Month/Day/Year)	Day/Year)		Derivative Security		Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Data Evanaisable	Evaluation	Title	Amount on	Derivative	Security:	
	Date Exercisable	*	Title	Amount or Number of	Security	Direct (D)	
		Date		Nulliber of		or Indirect	

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	01/23/2014(1)	01/23/2023	Common Stock	4,100	\$ 4.59	D	Â
Employee Stock Option (Right to Buy)	01/22/2015(2)	01/22/2024	Common Stock	5,500	\$ 6.72	D	Â
Employee Stock Option (Right to Buy)	05/29/2015(3)	05/29/2024	Common Stock	14,500	\$ 6.205	D	Â
Performance Shares	12/31/2015	12/31/2015	Common Stock	2,400 (4)	\$ <u>(5)</u>	D	Â
Performance Shares	12/31/2016	12/31/2016	Common Stock	3,200 (6)	\$ <u>(7)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Filiatraut Renee S 9227 CENTRE POINTE DR WEST CHESTER, OH 45069	Â	Â	VP Litigation, Labor & Ext Aff	Â		

Signatures

/s/ Renee S.
Filiatraut

**Signature of Reporting Person

O6/02/2014

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in three equal annual installments beginning one year after grant date.
- (2) Options vest in three equal annual installments beginning one year after grant date.
- (3) Options vest in three equal annual installments beginning one year after grant date.
- This represents one half of the Performance Shares which were awarded on January 23, 2013 and are required to be reported at this time (4) because they constitute derivative securities. The other half do not constitute derivative securities and therefore are not required to be reported at this time.
- Each Performance Share represents a contingent right to receive 0 to 1.5 shares of Common Stock. The conversion rate (0, .5, 1 or 1.5) (5) ultimately applied to these Performance Shares will be determined based on the compounded annual growth rate ("CAGR") of the Common Stock between January 1, 2013 and December 31, 2015 (the "Performance Period").
- This represents one half of the Performance Shares which were awarded on January 22, 2014 and are required to be reported at this time (6) because they constitute derivative securities. The other half do not constitute derivative securities and therefore are not required to be reported at this time.
- Each Performance Share represents a contingent right to receive 0 to 1.5 shares of Common Stock. The conversion rate (0, .5, 1 or 1.5) (7) ultimately applied to these Performance Shares will be determined based on the compounded annual growth rate ("CAGR") of the Common Stock between January 1, 2014 and December 31, 2016 (the "Performance Period").

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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