PRUDENTIAL FINANCIAL INC

Form 4

February 18, 2015

FOF	RM	4
------------	----	---

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

5. Relationship of Reporting Person(s) to

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16.

3235-0287 January 31, Expires: 2005

OMB APPROVAL

SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

02/13/2015

Stock

Stock

Common

			Symbol PRUDENTIAL FINANCIAL INC [PRU]						Issuer (Check all applicable)			
(Mont			Date of Earliest Transaction Month/Day/Year) 02/13/2015						Director 10% Owner Self-control of the control of			
				Amendment, Date Original d(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	I - No	n-D	erivative S	ecuri	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution I any (Month/Day	Date, if	3. Transa Code (Instr.	8)	4. Securitin(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/13/2015			M		45,978	A	\$ 25.3	108,798	D		
Common Stock	02/13/2015			S <u>(1)</u>		45,978	D	\$ 80	62,820	D		
Common	02/13/2015			c (1)		18 814	D	\$ 80	44 006 (2)	D		

 $S^{(1)}$

18,814 D

\$ 80 44,006 (2)

60

D

I

By 401(k)

Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ative Expiration Date (Month/Day/Year) red (A) posed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2/10/09 Employee Stock Option (Right to	\$ 25.3	02/13/2015		M	45,978	(3)	02/10/2019	Common Stock	45,978

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lowrey Charles F 751 BROAD STREET, 4TH FLOOR ATTN. CORPORATE COMPLIANCE NEWARK, NJ 07102

Executive Vice President

Signatures

Buy)

/s/ Brian J. Morris, attorney-in-fact

act 02/18/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 19, 2014.
 - Following the transactions reported on this Form 4, Mr. Lowrey continues to hold 44,006 shares directly and 60 shares indirectly in a

(2) 401(k) account. Mr. Lowrey also holds an additional 414,475 vested stock options, 115,058 unvested stock options, and 47,360 target performance shares (the exact number awarded being dependent on achievement of performance goals).

Reporting Owners 2

Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

(3) The option vests in three equal annual installments beginning on February 10, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.