### Edgar Filing: EVERSOURCE ENERGY - Form 4

#### **EVERSOURCE ENERGY**

Form 4 March 03, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

Estimated average

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* NOLAN JOSEPH R JR

2. Issuer Name and Ticker or Trading

**EVERSOURCE ENERGY [ES]** 

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Last)

(Middle)

3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

C/O EVERSOURCE ENERGY, 300 03/01/2016

Director 10% Owner X\_ Officer (give title Other (specify

6. Individual or Joint/Group Filing(Check

(First)

below) **SVP-Corporate Relations** 

**CADWELL DRIVE** 

4. If Amendment, Date Original

Applicable Line)

(Street) Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

SPRINGFIELD, MA 01104

(City)	(State)	Zip) Table	e I - Non-D	erivative Securities Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities Acquired on(A) or Disposed of	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(Wollding Buyl Tear)	any	Code	(D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
				(A)	Reported		
				or	Transaction(s)		

Code V Amount (D)

(Instr. 3 and 4)

Common Shares.

\$5.00 par

03/01/2016

7,655 D S

8,834 (1)

D

value

Common Shares,

\$5.00 par

 $16,660^{(2)}$ 

401k Plan

value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

### Edgar Filing: EVERSOURCE ENERGY - Form 4

required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed	(Month/Day/Year) ivative urities quired or		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	of (D) (Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Shares	(3)					(3)	(3)	Common Shares, \$5.00 par value	52,364 (3)	

## **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips					
. 0	Director	10% Owner	Officer	Other		
NOLAN JOSEPH R JR						
C/O EVERSOURCE ENERGY			SVP-Corporate			

C/O EVERSOURCE ENERG' 300 CADWELL DRIVE SPRINGFIELD, MA 01104 SVP-Corporate

Relations

## **Signatures**

/s/ Kerry J. Tomasevich, attorney-in-fact for Mr. Nolan

03/03/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted share units and dividend equivalents thereon.
- (2) Shares held in trust under the Eversource 401k Plan, a qualified plan, according to information supplied by the Plan's record keeper.

Reporting Person's deferred compensation under the Eversource Deferred Compensation Plan, a non-qualified deferred compensation plan, that is nominally invested as common shares. Each phantom share represents the right to receive the cash value of one Eversource Energy common share upon a distribution event, following vesting. Additional phantom shares are issued upon the automatic reinvestment of dividend-equivalents exempt from line item reporting under SEC Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

## Edgar Filing: EVERSOURCE ENERGY - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.