

3M CO  
Form 4  
May 13, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Singh Jesse G

(Last) (First) (Middle)  
3M CENTER  
(Street)  
ST. PAUL, MN 55144-1000

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
3M CO [MMM]

3. Date of Earliest Transaction (Month/Day/Year)  
05/12/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Common Stock                    | 05/12/2016                           |  | S                              |   | 100   | D  | \$ 169.92 6,339                                       |
| Common Stock                    | 05/12/2016                           |  | S                              |   | 300   | D  | \$ 169.93 6,039                                       |
| Common Stock                    | 05/12/2016                           |  | S                              |   | 400   | D  | \$ 169.94 5,639                                       |
| Common Stock                    | 05/12/2016                           |  | S                              |   | 100   | D  | \$ 169.96 5,539                                       |
| Common Stock                    | 05/12/2016                           |  | S                              |   | 964   | D  | \$ 169.97 4,575                                       |

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|              |            |   |        |   |            |        |   |
|--------------|------------|---|--------|---|------------|--------|---|
| Common Stock | 05/12/2016 | M | 10,922 | A | \$ 89.47   | 15,497 | D |
| Common Stock | 05/12/2016 | S | 522    | D | \$ 169.88  | 14,975 | D |
| Common Stock | 05/12/2016 | S | 100    | D | \$ 169.905 | 14,875 | D |
| Common Stock | 05/12/2016 | S | 10,300 | D | \$ 169.96  | 4,575  | D |
| Common Stock | 05/12/2016 | M | 12,497 | A | \$ 87.89   | 17,072 | D |
| Common Stock | 05/12/2016 | S | 97     | D | \$ 169.84  | 16,975 | D |
| Common Stock | 05/12/2016 | S | 100    | D | \$ 169.86  | 16,875 | D |
| Common Stock | 05/12/2016 | S | 200    | D | \$ 169.895 | 16,675 | D |
| Common Stock | 05/12/2016 | S | 200    | D | \$ 169.91  | 16,475 | D |
| Common Stock | 05/12/2016 | S | 100    | D | \$ 169.94  | 16,375 | D |
| Common Stock | 05/12/2016 | S | 11,700 | D | \$ 169.95  | 4,675  | D |
| Common Stock | 05/12/2016 | S | 100    | D | \$ 170.035 | 4,575  | D |
| Common Stock | 05/12/2016 | M | 9,795  | A | \$ 101.49  | 14,370 | D |
| Common Stock | 05/12/2016 | S | 95     | D | \$ 169.99  | 14,275 | D |
| Common Stock | 05/12/2016 | S | 6,800  | D | \$ 170.005 | 7,475  | D |
| Common Stock | 05/12/2016 | S | 2,600  | D | \$ 170.01  | 4,875  | D |
| Common Stock | 05/12/2016 | S | 300    | D | \$ 170.03  | 4,575  | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3)              | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|
| Non-qualified Stock Option (Right to Buy)               | \$ 78.72   | 05/12/2016                           |  | M                              | 8,906   | 02/09/2011 02/07/2020                                    | Common Stock 8,906  |
| Non-qualified Stock Option (Right to Buy)               | \$ 78.72   | 05/12/2016                           |  | M                              | 3,564   | 02/09/2011 02/07/2020                                    | Common Stock 3,564  |
| Non-qualified Stock Option (Right to Buy)<br><u>(1)</u> | \$ 89.47   | 05/12/2016                           |  | M                              | 10,922  | 02/08/2012 02/08/2021                                    | Common Stock 10,922   |
| Non-qualified Stock Option (Right to Buy)<br><u>(2)</u> | \$ 87.89   | 05/12/2016                           |  | M                              | 12,497  | 02/07/2013 02/07/2022                                    | Common Stock 12,497   |
| Non-qualified Stock Option (Right to Buy)<br><u>(3)</u> | \$ 101.49  | 05/12/2016                           |  | M                              | 9,795   | 02/05/2014 02/03/2023                                    | Common Stock 9,795  |

**Reporting Owners**

| Reporting Owner Name / Address                        | Relationships |           |                       |       |
|---|---------------|-----------|-----------------------|-------|
|   | Director      | 10% Owner | Officer               | Other |
| Singh Jesse G<br>3M CENTER<br>ST. PAUL, MN 55144-1000 |               |           | Senior Vice President |       |

**Signatures**

/s/ Sheila B. Clagherty, attorney-in-fact for Jesse G. Singh  
 05/13/2016  
 \*\*Signature of Reporting Person Date

**Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/8/2011).
- (2) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/5/2013).
- (3) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/5/2013).

### **Remarks:**

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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