### Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

#### WELLS FARGO & COMPANY/MN

Form 4

January 04, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

BAKER JOHN D II			Symbol WELLS FARGO & COMPANY/MN [WFC]				,	Issuer (Check all applicable)			
(Last) (First) (Middle) 200 WEST FORSYTH STREET			3. Date of Earliest Transaction (Month/Day/Year) 01/01/2017					_X_ Director 10% Owner Officer (give title below) Other (specify below)			
(Street)  JACKSONVILLE, FL 32202			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
	(City)	(State)	(Zip)	Table	I - Non-D	erivative S	Securit	ies Acc	quired, Disposed	of, or Beneficia	lly Owned
	1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	e) Execution	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8)	4. Securiton Acquired Disposed (Instr. 3,	(A) or (A) or (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Common Stock, \$1 2/3 Par Value								32,532	D	
	Common Stock, \$1 2/3 Par Value								4,100	I	By Clb Trust
	Common Stock, \$1 2/3 Par Value								1,175	I	By Crusher Run Crut

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Common			
Stock, \$1	307	T	By Sab Irr.
2/3 Par	307	1	Trust (1)
Value			
Common			
Stock, \$1	25	τ.	By Spouse
2/3 Par	25	1	<u>(1)</u>
Value			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	(2)	01/01/2017		A	920.8855		<u>(3)</u>	(3)	Common Stock, \$1 2/3 Par Value	920.8855

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BAKER JOHN D II							
200 WEST FORSYTH STREET	X						
IACKSONVILLE FL 32202							

# **Signatures**

John D. Baker II, by Anthony R. Augliera, as 01/04/2017 Attorney-in-Fact

> \*\*Signature of Reporting Person Date

2 Reporting Owners

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person disclaims beneficial ownership of these shares.
- (2) Each phantom stock unit represents the right to receive one share of Wells Fargo & Company common stock.
- (3) Deferred compensation shares payable in installments based upon director's election.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.