Moriarty Sean Thomas Form 4 March 06, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

| 1. Name and Ad<br>Moriarty Sea | ldress of Reporti<br>n Thomas | ng Person * | 2. Issuer Name and Ticker or Trading Symbol      | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable) |  |  |  |
|--------------------------------|-------------------------------|-------------|--|---|--|--|--|
| (Last)                         | (First)                       | (Middle)    | STEPAN CO [SCL]  3. Date of Earliest Transaction |   |  |  |  |
| 22 WEST FRONTAGE ROAD          |                               |             | (Month/Day/Year)                                 | Director 10% OwnerX Officer (give title Other (specify                      |  |  |  |
|                                |                               |             | 03/04/2019                                       | below) below)  VP & GM Polymers   |  |  |  |
| (Street)                       |                               |             | 4. If Amendment, Date Original                   | 6. Individual or Joint/Group Filing(Check                                   |  |  |  |
|                                |                               |             | Filed(Month/Day/Year)                            | Applicable Line) _X_ Form filed by One Reporting Person                     |  |  |  |
| NORTHFIELD                     |                               |             |  | Form filed by More than One Reporting Person                                |  |  |  |
| (61:                           | (0, , )                       | (77: )      |  |   |  |  |  |

| (City)                               | (State) (   | Zip) Table | I - Non-D                              | erivative  | Secur            | ities Acqı  | uired, Disposed of   | , or Beneficial  | ly Owned  |  |
|--------------------------------------|---|------------|--|--|------------------|-------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |            | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired on (A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|                                      |   |            | Code V                                 | Amount   | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |  |
| Common<br>Stock                      | 03/04/2019  |            | F(1)                                   | 737  | D                | \$<br>93.43 | 5,061.7134   | D  |   |  |
| Common<br>Stock                      |   |            |  |  |                  |             | 3,744.367  | Ι  | By ESOP<br>II Trust   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Edgar Filing: Moriarty Sean Thomas - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc   | cisable and | 7. Title        | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------|-----------------|-------------|-----------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration Date |             | Amoun           | t of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/     | Year)       | Underly         | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e               |             | Securit         | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities |                 |             | (Instr. 3       | 3 and 4) |             | Own    |
|             | Security    |                     |                    |                   | Acquired   |                 |             |                 |          |             | Follo  |
|             | •           |                     |                    |                   | (A) or     |                 |             |                 |          |             | Repo   |
|             |             |                     |                    |                   | Disposed   |                 |             |                 |          |             | Trans  |
|             |             |                     |                    |                   | of (D)     |                 |             |                 |          |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3, |                 |             |                 |          |             |        |
|             |             |                     |                    |                   | 4, and 5)  |                 |             |                 |          |             |        |
|             |             |                     |                    |                   |            |                 |             |                 | A manuat |             |        |
|             |             |                     |                    |                   |            |                 |             |                 | Amount   |             |        |
|             |             |                     |                    |                   |            | Date            | Expiration  |                 | Or       |             |        |
|             |             |                     |                    |                   |            | Exercisable     | isable Date | Title Number of |          |             |        |
|             |             |                     |                    | C + V             | (A) (D)    |                 |             |                 |          |             |        |
|             |             |                     |                    | Code V            | (A) (D)    |                 |             |                 | Shares   |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Moriarty Sean Thomas 22 WEST FRONTAGE ROAD **NORTHFIELD** 

VP & GM Polymers

# **Signatures**

/s/ Stephanie J. Pacitti, attorney-in-fact for Sean T. **Moriarty** 

03/06/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transaction reported reflects the withholding of shares from the performance shares vested on February 20, 2019, which were (1) reported on the Form 4 filed for the reporting person on February 22, 2019. Withholding of these shares were applied to the reporting person's account on the transaction date shown.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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