

S&W Seed Co  
Form 8-K  
February 09, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

---

---

FORM 8-K

---

---

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): February 9, 2017

---

---

S&W Seed Company

(Exact name of registrant as specified in its charter)

---

---

Nevada  
(State or other jurisdiction  
of incorporation)

001-34719  
(Commission  
File Number)

27-1275784  
(IRS Employer  
Identification No.)

802 N. Douty Street  
Hanford, California  
(Address of principal executive offices)

93230  
(Zip Code)

Registrant's telephone number, including area code: **(559) 884-2535**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Edgar Filing: S&W Seed Co - Form 8-K

- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  
  - o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
- 
- 

Item 2.02 Results of Operations and Financial Condition

On February 9, 2017, S&W Seed Company issued a press release announcing its financial results for the quarter ended December 31, 2016. A copy of this press release is attached hereto as Exhibit 99.1.

The information in this Item 2.02 and the attached exhibit are being furnished and shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liability of that section, nor shall they be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act.

Item 9.01

Financial Statements and Exhibits

(d)

Exhibits

Exhibit No.

Description

99.1

Press Release of S&W Seed Company dated February 9, 2017

---

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

S&W SEED COMPANY

By: /s/ Matthew K. Szot

---

Matthew K. Szot

*Executive Vice President of Finance and Administration and Chief Financial Officer*

Date: February 9, 2017

---

EXHIBIT INDEX

| <u>Exhibit No.</u> | <u>Description</u>  |
|--------------------|---|
| 99.1               | <u>Press Release of S&amp;W Seed Company dated February 9, 2017</u> |