

PRUDENTIAL FINANCIAL INC  
Form 4  
August 08, 2018

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
PRUDENTIAL FINANCIAL INC

2. Issuer Name and Ticker or Trading Symbol  
Kayne Anderson Energy Total Return Fund, Inc. [KYE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
751 BROAD ST  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/06/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)  
See Footnote 1

NEWARK, NJ 07102

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)                               | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |   |  |
|---|--------------------------------------|--|--------------------------------|---|---|--|---|---|--|
|   |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |   |  |
| 3.36% Series M Senior Unsecured Notes due 2021 <sup>(1)</sup> | 08/06/2018                           |  | J                              |   | 2,428,571.43 <sup>(2)</sup>   | D  | \$ 1 \$ 0   | I | By The Gibraltar Life Insurance Co., Ltd, a wholly-owned subsidiary of the Reporting Perso |
| 3.36% Series M Senior Unsecured                               | 08/06/2018                           |  | J                              |   | 1,059,685.71 <sup>(2)</sup>   | D  | \$ 1 \$ 0   | I | By The Prudential Insurance Company of   |



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(2) (2) Note transfer to KME due to KYE merger into KME on 08/06/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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