

STERLING CONSTRUCTION CO INC

Form 4

February 06, 2007

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
ABERNATHY JOHN D

2. Issuer Name and Ticker or Trading
Symbol

STERLING CONSTRUCTION CO
INC [STRL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1840 TURNBULL LAKES DR

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)

02/05/2007

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

NEW SMYRNA BEACH, FL 32168

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common stock	02/05/2007		M		10,000	A \$ 0.875	20,203	D	
Common stock	02/05/2007		S ⁽¹⁾		400	D \$ 20.86	19,803	D	
Common stock	02/05/2007		S ⁽¹⁾		1,100	D \$ 20.87	18,703	D	
Common stock	02/05/2007		S ⁽¹⁾		708	D \$ 20.88	17,995	D	
Common stock	02/05/2007		S ⁽¹⁾		592	D \$ 20.89	17,403	D	

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Common stock	02/05/2007	<u>S⁽¹⁾</u>	1,400	D	\$ 20.9	16,003	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	300	D	\$ 20.91	15,703	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	200	D	\$ 20.94	15,503	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	200	D	\$ 20.95	15,303	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	500	D	\$ 20.96	14,803	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	100	D	\$ 21	14,703	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	100	D	\$ 21.01	14,603	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	900	D	\$ 21.04	13,703	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	400	D	\$ 21.09	13,303	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	100	D	\$ 21.1	13,203	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	100	D	\$ 21.13	13,103	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	100	D	\$ 21.14	13,003	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	2	D	\$ 21.16	13,001	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	98	D	\$ 21.17	12,903	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	892	D	\$ 21.27	12,011	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	216	D	\$ 21.28	11,795	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	292	D	\$ 21.29	11,503	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	200	D	\$ 21.3	11,303	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	200	D	\$ 21.32	11,103	D
Common stock	02/05/2007	<u>S⁽¹⁾</u>	100	D	\$ 21.35	11,003	D
	02/05/2007	<u>S⁽¹⁾</u>	100	D		10,903	D

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Common stock					\$ 21.37		
Common stock	02/05/2007	S ⁽¹⁾	400	D	\$ 21.5	10,503	D
Common stock	02/05/2007	S ⁽¹⁾	200	D	\$ 21.51	10,303	D
Common stock	02/05/2007	S ⁽¹⁾	100	D	\$ 21.54	10,203	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (right to buy)	\$ 0.875	02/05/2007		M	10,000	01/13/1998 01/13/2008	Common stock 10,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
ABERNATHY JOHN D 1840 TURNBULL LAKES DR NEW SMYRNA BEACH, FL 32168	X

Signatures

Karen A. Stempinski,
under POA 02/05/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares were sold pursuant to a 10b5-1 trading plan adopted by the Reporting Person on June 5, 2006

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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