Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 October 04, 2013

October 04, 2013											
FORM 4	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB APPROVAL		
. •									3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHID SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1							Number: Expires: Estimated a burden hour response			
See Instruction 1(b).	Section 17(a) of the I	Public Ut	ility Hold	ling Com		1935 or Section	1			
(Print or Type Respon	ses)										
1. Name and Address of Reporting Person <u>*</u> Galik Milan			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
			Interactive Brokers Group, Inc. [IBKR]				(Check all applicable)				
(Last) (First) (Middle) ONE PICKWICK PLAZA			3. Date of Earliest Transaction (Month/Day/Year) 10/02/2013				X Director 10% Owner X Officer (give title Other (specify below) below) SVP Software Development				
				. If Amendment, Date Original iled(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
GREENWICH, C	T 06830						Form filed by M Person	lore than One Re	porting		
(City) (S	tate) (2	Zip)	Table	e I - Non-D	erivative S	Securities Acq	uired, Disposed of	, or Beneficial	ly Owned		
	ansaction Date th/Day/Year)	2A. Deen Executior any (Month/D	n Date, if	3. Transactio Code (Instr. 8) Code V	n(A) or Di (Instr. 3, 4	ies Acquired sposed of (D) 4 and 5) (A) or (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			

Class A \$ 18.87 784,604 (2) 1,000 D Common S 10/02/2013 (1) Stock Class A 1,000 \$ 19.04 783,604 (2) S D Common 10/03/2013 (1) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D

D

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
I O	Director	10% Owner	Officer	Other			
Galik Milan ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		SVP Software Development				
Signaturaa							

Signatures

/s/ Raymond Bussiere as authorized signatory for Milan Galik

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person which went into effect February 1, 2013.

10/04/2013

Date

(2) These shares represent the aggregate number of shares of restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were sold for withholding tax purposes and vested shares sold pursuant to a Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.