Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 November 05, 2013

Stock

Stock

Class A

Common

11/04/2013

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November 0	5, 2013										
FORM	14								OMB AF	PROVAL	
	Washington, D.C. 20549							OMB Number:	3235-0287		
Check th							Expires:	January 31,			
subject to Section 1 Form 4 c Form 5 obligatio may cont	obligations may continue. See InstructionSection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Estimated a burden hour response		
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> Galik Milan			2. Issuer Name and Ticker or Trading Symbol Interactive Brokers Group, Inc. [IBKR]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (1	Middle)		Earliest Tr	ansaction			_X_ Director	10%	Owner	
ONE PICKWICK PLAZA			(Month/Day/Year) 11/01/2013					XOfficer (give titleOther (specify below) below) SVP Software Development			
	(Street)			ndment, Da nth/Day/Year)	-	1		6. Individual or Jo Applicable Line) _X_ Form filed by C	one Reporting Pe	rson	
GREENWI	CH, CT 06830							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8) Code V	(Instr. 3,	(A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Class A Common Stock	11/01/2013			S S	Amount 900 <u>(1)</u>	(D) D	Price \$ 20.57	762,704 <u>(2)</u>	D		
Class A Common	11/01/2013			S	100 (1)	D	\$ 20.59	762,604 <u>(2)</u>	D		

1,000 (1)

D

\$ 21.09

761,604 (2)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
reporting o when reality read on	Director	10% Owner	Officer	Other				
Galik Milan ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		SVP Software Development					
Signatures								

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/s/ Raymond Bussiere as authorized signatory for Milan Galik	11/05/2013	
**Signature of Reporting Person	Date	

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person which went into (1) effect February 1, 2013.
- These shares represent the aggregate number of shares of restricted stock from awards granted under the 2007 Stock Incentive Plan since (2) its inception, less vested shares that were sold for withholding tax purposes and vested shares sold pursuant to a Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.