Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc.

Common

Stock

12/17/2013

Form 4 December 1	8, 2013										
FORM	ЛЛ								OMB AF	PROVAL	
	UNITED	STATES		ITIES A hington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no lon	ger			~~~~					Expires:	January 31, 2005	
subject to Section 16. Form 4 or				CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Estimated a burden hour response	verage	
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17(a) of the l	Public Ut		ling Con	npany	y Act of	e Act of 1934, 1935 or Sectior 0	1		
(Print or Type	Responses)										
			2. Issuer Name and Ticker or Trading Symbol Interactive Brokers Group, Inc. [IBKR]				0	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	•										
(Mo			(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 12/16/2013				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) DVD 0. from Declaration			
	(Street)				ta Oniaina	1			ware Developn		
· · · · · · · · · · · · · · · · · · ·				mendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
GREENWI	CH, CT 06830							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of,	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	ty (Month/Day/Year) Execution Date, if			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Class A Common Stock	12/16/2013			S	1,000 (1)	D	\$ 24.54	732,604 (2)	D		
Class A					1 0 0 0		.				

1,000

(1)

\$

24.11

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

731,604 (2)

D

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
I O	Director	10% Owner	Officer	Other			
Galik Milan ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		SVP Software Development				
Signaturaa							

Signatures

/s/ Raymond Bussiere as authorized signatory for Milan Galik

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person which went into effect February 1, 2013.

12/18/2013

Date

(2) These shares represent the aggregate number of shares of restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were sold for withholding tax purposes and vested shares sold pursuant to a Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.