Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 February 07, 2014

Class A

Stock

Stock

Class A Common

Common

02/06/2014

February 07	, 2014									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB AF OMB	OMB APPROVAL		
			Washington,					Number:	3235-0287	
Check th if no long	ger							Expires:	January 31, 2005	
subject to Section 1 Form 4 c Form 5 obligatio may con See Instr	50 STATEM 16. 50 Filed pure 51 Section 17(a	suant to Sect a) of the Pub	CHANGES IN BENEFICIAL OWNERS SECURITIES ection 16(a) of the Securities Exchange Act Public Utility Holding Company Act of 1935 of the Investment Company Act of 1940				e Act of 1934, 1935 or Section	Estimated average burden hours per response 0.		
1(b).										
(Print or Type]	Responses)									
Brody Paul Jonathan Syr Int			2. Issuer Name and Ticker or Trading Symbol Interactive Brokers Group, Inc. [IBKR]				5. Relationship of Reporting Person(s) to Issuer			
							(Check all applicable)			
(Last)	(First) (M WICK PLAZA	(M	Date of Earliest Tr onth/Day/Year) /05/2014	ansaction			X Director X Officer (give below) Chief I		Owner er (specify er	
	(Street)		f Amendment, Da ed(Month/Day/Year)		1		6. Individual or Jo Applicable Line)			
GREENWI	CH, CT 06830						_X_ Form filed by C Form filed by M Person			
(City)	(State)	(Zip)	Table I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	Code	4. Securit n(A) or Di (Instr. 3, 4	sposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	02/05/2014		Code V S	Amount 4,044 (1)	(D) D	Price \$ 21.1 (4)	(Instr. 3 and 4) 42,511 (2)	I	By IBG Holdings LLC	

\$

(5)

D

21.35 38,209 <u>(2)</u>

585,761

I

D (3)

4,302

(1)

S

By IBG

Holdings

LLC

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Brody Paul Jonathan ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		Chief Financial Officer					
Signatures								

/s/ Raymond Bussiere as authorized signatory for Paul J. Brody <u>**Signature of Reporting Person</u> Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the (1) reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC, that went into effect November 18, 2013.
- (2) Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his membership interest in IBG Holdings LLC.
- These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of (3) restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax
- purposes, or sold previously.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$20.69 to \$21.31, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of

Edgar Filing: Interactive Brokers Group, Inc. - Form 4

the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) and (5) to this Form 4.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.06 to \$21.54, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.