## Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 February 13, 2014

February 13,	2014											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMIS							OMMERION	OMB APPROVAL				
<b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549								COMMISSION	OMB Number:	3235-0287		
Check th if no long subject to Section 1 Form 4 o	ger <b>STA</b> .6.										January 31, 2005 average rs per 0.5	
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type I	Responses)											
Brody Paul Jonathan Symbo				nbol	Name and				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
					ve Broke	rs Group	o, Inc	•				
(Last) (First) (Middle) 3. Date of (Month/D ONE PICKWICK PLAZA 02/11/20				onth/Da	-				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Chief Financial Officer			
	(Street)				dment, Da h/Day/Year	-	1		6. Individual or Jo Applicable Line) _X_ Form filed by 0			
GREENWI	CH, CT 068	30							Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	)	Table	I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transactio (Month/Day/	Year) Ex an	kecution Dat	te, if	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	02/11/2014	Ļ			Code V S	Amount 3,921 (1)	(D) D	Price \$ 21.72 (4)	(1131.9  and  4) 29,040 $(2)$	Ι	By IBG Holdings LLC	
Class A Common Stock	02/12/2014	Ļ			S	3,898 (1)	D	\$ 21.64	25,142 <u>(2)</u>	I	By IBG Holdings LLC	

Class A Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

D (3)

585,761

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Brody Paul Jonathan ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		Chief Financial Officer					
Signatures								

/s/ Raymond Bussiere as authorized signatory for Paul J. Brody <u>\*\*Signature of Reporting Person</u> Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the (1) reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC, that went into effect November 18, 2013.
- (2) Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his membership interest in IBG Holdings LLC.
- These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of (3) restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax
- purposes, or sold previously.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.54 to \$21.89, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of

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the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) and (5) to this Form 4.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.55 to \$21.82, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.