## Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 February 20, 2014

February 20,	, 2014										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB AI	OMB APPROVAL	
	• • UNITED	STATES		ATTIES A Shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o	<b>STATEN</b>	IENT OF			BENEF		LOW	NERSHIP OF	Expires: Estimated a burden hou	rs per	
Form 4 or Form 5 obligations may continue.response0See Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400										0.5	
(Print or Type I	Responses)										
Brody Paul Jonathan Syn			2. Issuer Name <b>and</b> Ticker or Trading Symbol Interactive Brokers Group, Inc. [IBKR]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			e of Earliest Transaction n/Day/Year) /2014				X Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer				
	(Street)			ndment, Da th/Day/Year	-	1		6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by M	One Reporting Pe	erson	
GREENWI	CH, CT 06830							Person		porung	
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A				Code V	Amount	(D)	Price \$	(Instr. 3 and 4)		By IBG	
Class A Common Stock	02/18/2014			S	4,301 (1)	D	\$ 21.69 (4)	13,217 <u>(2)</u>	Ι	Holdings LLC	
Class A Common Stock	02/19/2014			S	4,302 (1)	D	\$ 21.56 (5)	8,915 <u>(2)</u>	Ι	By IBG Holdings LLC	

Class A Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

D (3)

585,761

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	unt of rlying rities	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
					(Instr. 3, 4, and 5)				Amount or		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Brody Paul Jonathan ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		Chief Financial Officer					
Signatures								

/s/ Raymond Bussiere as authorized signatory for Paul J. 02/20/2014 Brody \*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the (1) reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC, that went into effect November 18, 2013.
- Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his (2)membership interest in IBG Holdings LLC.
- These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of (3) restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax
- purposes, or sold previously.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.61 to \$21.84, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of

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the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in footnotes (4) and (5) to this Form 4.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.47 to \$21.65, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.