Interactive Brokers Group, Inc.

Form 4 May 20, 2014

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number: January 31,

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: 2005 Estimated average burden hours per

0.5

response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

Class A

Stock

Common

05/09/2014

(Print or Type Responses)

1. Name and Brody Pau	Address of Reportin I Jonathan	g Person *	2. Issuer Symbol	l Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
			Interact [IBKR]		ers Group, Inc.		(Checl	k all applicable	)
(Last)	(First)	(Middle)	3. Date of (Month/D	f Earliest T Day/Year)	ransaction	_X_ Director 10% Owner _X_ Officer (give title Other (speci			
ONE PICKWICK PLAZA			05/09/2014			below) below) Chief Financial Officer			
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
			Filed(Month/Day/Year)						
GREENW	ICH, CT 06830						•	Iore than One Re	
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative Securities Acq	uired, Dis	posed of	, or Beneficial	ly Owned
1.Title of	2. Transaction Da	te 2A. Deem	ned	3.	4. Securities Acquired	5. Amou	ınt of	6.	7. Nature of
Security	(Month/Day/Year	e) Execution	n Date, if	Transactio	on(A) or Disposed of (D)	Securitie	es	Ownership	Indirect
(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Benefici	ially	Form: Direct	Beneficial

(Instr. 8)

F

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

05/16/2014

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Owned

Following

Reported

Transaction(s) (Instr. 3 and 4)

546,223 (2)

(A)

(D)

D

Price

22.73

\$

(1)

Amount

39,538

(D) or

Indirect (I)

(Instr. 4)

D

Ownership

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Interactive Brokers Group, Inc. - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	Ĭ				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
						2.1010154010	2410		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Toporous o mar round / radicoss	Director	Director 10% Owner Officer		Other			
Brody Paul Jonathan ONE PICKWICK PLAZA GREENWICH, CT 06830	X		Chief Financial Officer				

## **Signatures**

/s/ Raymond Bussiere as authorized signatory for Paul J.
Brody
05/20/2014

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\*Signature of Reporting Person

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price represents the closing price of the issuer's Class A common stock as of May 9, 2014, the vesting date.
- (2) These shares represent the aggregate number of shares of restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax purposes.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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