

Truett-Hurst, Inc.  
Form 3  
July 01, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person *             |         | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol                                      |  |
| 1987-1988 Richard C Blum Irrevocable Children's Trust |         | (Month/Day/Year)<br>06/19/2013       | Truett-Hurst, Inc. [THST]  |  |
| (Last)  | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer                                 | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| 1133 CONNECTICUT AVENUE<br>NW SUITE 600,              |         |                                      | (Check all applicable)   |  |
| (Street)  |         |                                      | <input type="checkbox"/> Director  | <input checked="" type="checkbox"/> 10% Owner        |
| WASHINGTON, DC 20036                                  |         |                                      | <input type="checkbox"/> Officer   | <input type="checkbox"/> Other                       |
| (City)  | (State) | (Zip)                                | (specify below)  |  |
|   |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)                       |  |
|   |         |                                      | <input type="checkbox"/> Form filed by One Reporting Person                      |  |
|   |         |                                      | <input checked="" type="checkbox"/> Form filed by More than One Reporting Person |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Class A Common Stock               | 505,000  | D <sup>(1)</sup>  | ^  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) |
|------------------|-----------------|-------|----------------------------|----------|---------------------------------------|
|------------------|-----------------|-------|----------------------------|----------|---------------------------------------|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| 1987-1988 Richard C Blum Irrevocable Children's Trust<br>1133 CONNECTICUT AVENUE NW SUITE 600<br>WASHINGTON, DC 20036 | ^             | ^ X       | ^       | ^     |
| KLEIN MICHAEL R<br>1133 CONNECTICUT AVENUE NW<br>SUITE 600<br>WASHINGTON, DC 20036                                    | ^             | ^ X       | ^       | ^     |

## Signatures

/s/ Jim Murray, Chief Financial Officer 07/01/2014  
 \*\*Signature of Reporting Person Date

/s/ Jim Murray as Attorney-in-Fact for Michael Klein 07/01/2014  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares are directly owned by the 1987-1988 Richard C Blum Irrevocable Children's Trust (the "Trust"). Michael Klein is the sole trustee of the Trust and may, accordingly, be deemed an indirect beneficial owner of the shares held by the Trust, although he is not a beneficiary of the Trust. Mr. Klein disclaims beneficial ownership of the shares held by the Trust, except to the extent of any pecuniary interest therein, and this report shall not be deemed an admission of beneficial owner of such shares for any purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.