CHEMEROW DAVID I.

Form 3

October 03, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement RiceBran Technologies [RIBT] CHEMEROW DAVID I. (Month/Day/Year) 10/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1330 LAKE ROBBINS (Check all applicable) DRIVE, Â SUITE 250 (Street) 6. Individual or Joint/Group 10% Owner Director Officer _Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Person THE Form filed by More than One WOODLANDS, TXÂ 77380 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 100,742 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|--|---|--|---|
| | | Title | Derivative | Security: | |
| | | | Security | Direct (D) | |

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CHEMEROW DAVID I.

1330 LAKE ROBBINS DRIVE SUITE 250

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THE WOODLANDS, TXÂ 77380

Signatures

/s/ Dennis Dykes, by power of attorney

10/02/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Reporting Owners 2

Item Departure of Directors or Principal Officers; Election of Directors; Appointment of Certain Officers;
 5.02 Compensatory Arrangements of Certain Officers.

Executive Compensation Actions

On April 20, 2010, the Compensation, Human Resources and Management Succession Committee (the "Committee") of the Board of Directors of Equifax Inc. (the "Company") approved annual long-term incentive ("LTI") award opportunities for named executive officers pursuant to the Company's shareholder-approved 2008 Omnibus Incentive Plan (the "Plan"). The named executive officers include Richard F. Smith, Chairman and Chief Executive Officer; Lee Adrean, Corporate Vice President and Chief Financial Officer; Coretha M. Rushing, Corporate Vice President and Chief Human Resources Officer; Kent E. Mast, Corporate Vice President and Chief Legal Officer; and William W. Canfield, President, TALX.

The Committee approved the following annual stock option and performance-based restricted stock unit awards to the named executive officers effective as of April 30, 2010:

| | | | Maximum Number of | |
|-------------|-------------------------|---------------|-----------------------------|--|
| | | Qı | Qualified Performance-Based | |
| | Named Executive Officer | Stock Options | Restricted Stock Units | |
| R. Smith | | 190,000 | 80,000 | |
| L. Adrean | | 32,000 | 19,000 | |
| C. Rushing | | 25,000 | 12,000 | |
| K. Mast | | 25,000 | 12,000 | |
| W. Canfield | | 25,000 | 12,000 | |

The stock options are non-qualified, have a ten-year term and vest 33-1/3% on the first anniversary of the grant date and 33-1/3% on each of the next two grant date anniversaries if the officer remains employed by the Company on those dates or has retired, subject to acceleration in the event of a change in control and adjustment in certain events.

The qualified performance-based restricted stock units awards have both time-based and performance-based vesting conditions. The awards provide for a three-year vesting period from the date of grant if the officer remains actively employed by the Company, subject to acceleration if the termination is due to death, disability or change in control, and adjustment in certain events. Awards will no longer vest immediately upon an executive's retirement as is the case with prior awards. The awards also require the achievement of certain applicable performance-based vesting conditions which reflect the Company's intention to qualify, to the extent practicable, compensation paid to officers as tax deductible, subject to the deductibility limitations of Section 162(m) of the Internal Revenue Code. The maximum number of shares listed above for each executive officer that vests on the vesting date will be equal in value to one-half of one percent (or one and one-half percent in the case of the Chief Executive Officer) of the sum of the Company's cumulative operating income for the period April 1, 2010 through December 31, 2012, as determined by the Committee in accordance with the Plan, divided by the fair market value of a share on the vesting date.

Copies of the form of non-qualified stock option award agreement, qualified performance-based restricted stock unit award agreement (senior leadership team) and restricted stock unit award agreement (non-senior leadership team employees) are attached to this report as Exhibits 10.1, 10.2, and 10.3, respectively, and incorporated herein by reference.

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Director and Executive Officer Retirement

On April 26, 2010, William W. Canfield, a member of the Company's Board of Directors, President of the Company's TALX business unit and a named executive officer, announced his intention to retire from the Board effective as of June 1, 2010. Mr. Canfield will retire as President of TALX effective June 1, 2010, and as an officer of the Company effective December 31, 2010, after assisting with the transition in leadership of TALX to J. Dann Adams, previously President of the Company's U.S. Consumer Information Solutions unit. Mr. Canfield will continue to receive his current annual base salary of \$606,375; Annual Incentive Plan cash bonus opportunity for 2010; the 2010 LTI awards previously described; and other employee benefits.

Item 8.01 Other Events.

A copy of the Company's press release dated April 26, 2010 announcing the retirement of William W. Canfield from the Board of Directors and as President of the TALX unit of the Company effective June 1, 2010, and several other changes in the Company senior management, is attached as Exhibit 99.1 hereto. The information in Exhibit 99.1 shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, nor shall be deemed incorporated by reference in any filing under the Securities Act of 1933, except as shall be expressly set forth by specific reference in such filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

| Exhibit No. | Description |
|-------------|--|
| 10.1 | Form of Non-Qualified Stock Option Agreement |
| 10.2 | Form of Qualified Performance-Based Restricted Stock Unit Agreement [Senior Leadership |
| | Team] |
| 10.3 | Form of Restricted Stock Unit Agreement [non-Senior Leadership Team employees] |
| 99.1 | Press release of Equifax Inc. dated April 26, 2010 |
| | |

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

EQUIFAX INC.

By: /s/ Kent E. Mast Name: Kent E. Mast

Title: Corporate Vice President and

Chief Legal Officer

Date: April 26, 2010

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Exhibit Index

The following exhibit is being furnished with this report:

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| | Team] |
| 10.3 | Form of Restricted Stock Unit Agreement [non-Senior Leadership Team employees] |
| 99.1 | Press release of Equifax Inc. dated April 26, 2010 |
| | |