

Stanton Kevin
Form 3
April 26, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Stanton Kevin

(Last) (First) (Middle)

2000 PURCHASE STREET

(Street)

PURCHASE, NY 10577

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/22/2019

3. Issuer Name and Ticker or Trading Symbol
Mastercard Inc [MA]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Chief Services Officer

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Class A Common Stock

12,546

D

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (1)	03/01/2025	Class A Common Stock	9,398	\$ 90.13	D	Â
Employee Stock Option (right to buy)	Â (2)	03/01/2026	Class A Common Stock	29,600	\$ 90.1	D	Â
Employee Stock Option (right to buy)	Â (3)	03/01/2027	Class A Common Stock	31,796	\$ 112.31	D	Â
Employee Stock Option (right to buy)	Â (4)	03/01/2028	Class A Common Stock	18,336	\$ 173.49	D	Â
Employee Stock Option (right to buy)	Â (5)	03/01/2029	Class A Common Stock	16,500	\$ 227.25	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stanton Kevin 2000 PURCHASE STREET PURCHASE, NY 10577	Â	Â	Â Chief Services Officer	Â

Signatures

Craig Brown, as attorney-in-fact for Kevin Stanton pursuant to a power of attorney dated April 23, 2019.

04/26/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The reporting person was awarded 18,796 employee stock options on March 1, 2015, of which 9,398 remain and are fully vested.
- (2) The reporting person was awarded 29,600 employee stock options on March 1, 2016, of which 22,200 have vested. The remaining 7,400 employee stock options will vest on March 1, 2020.
- (3) The reporting person was awarded 31,796 employee stock options on March 1, 2017, of which 15,898 have vested. The remaining 15,898 employee stock options will vest in two equal annual installments beginning on March 1, 2020.
- (4) The reporting person was awarded 18,336 employee stock options on March 1, 2018, of which 4,584 have vested. The remaining 13,752 employee stock options will vest in three equal annual installments beginning on March 1, 2020.
- (5) The employee stock options vest in four (4) equal annual installments beginning March 1, 2020.

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Remarks:

Exhibit 24 Power of Attorney is attached.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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