

HARRIS & HARRIS GROUP INC /NY/
Form 8-K
March 04, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE

SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): March 3, 2015

HARRIS & HARRIS GROUP, INC.

(Exact Name of Registrant as Specified in its Charter)

New York	0-11576	13-3119827
(State or other jurisdiction	(Commission File Number)	(I.R.S. Employer
of incorporation)		Identification No.)

1450 Broadway

New York, New York 10018
(Address, including zip code, of Principal Executive Offices)

(212) 582-0900
(Registrant's telephone number, including area code)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Resignation of Lucio L. Lanza

On March 3, 2015, Lucio L. Lanza resigned as a member of the Board of Directors of Harris & Harris Group, Inc. (the “Company”), effective immediately, due to a different perspective on the most appropriate strategic direction for the Company. Mr. Lanza was serving as Chairman of the Valuation Committee and as a member of each of the Executive Committee, the Audit Committee, the Compensation Committee and the Independent Directors Committee.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit No. Description

17.1 Resignation Letter from Lucio Lanza

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HARRIS & HARRIS
GROUP, INC.

Date: March 4, 2015 By: /s/ Douglas W. Jamison

Douglas W. Jamison
Chief Executive Officer

Exhibit Index

Exhibit No. Description

17.1 Resignation Letter from Lucio Lanza