NEWMONT MINING CORP /DE/ Form 3 January 02, 2003 UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 FORM 3 INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES 1. Name and Address of Reporting Person HARQUAIL, DAVID 1700 LINCOLN STREET DENVER, CO 80203 USA 2. Date of Event Requiring Statement (Month/Day/Year) May 15, 2002 3. IRS or Social Security Number of Reporting Person (Voluntary) 4. Issuer Name and Ticker or Trading Symbol NEWMONT MINING CORPORATION NEM 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) () Director () 10% Owner (X) Officer (give title below) () Other (specify below) President and Managing Director, Newmont Capital Limited, a wholly owned subsidiary of the Issuer 6. If Amendment, Date of Original (Month/Day/Year)

- September 27, 2002
 7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 - () Form filed by More than One Reporting Person

| 1. Title of Security | <pre> 2. Amount of Securities Beneficially Owned</pre> | 3. | Ownership Form: Direct(D) or Indirect(I) | 4. | Nature of Beneficial |
|-------------------------|--|-------------|---|------------------|-------------------------|
| Exchangeable Shares (1) | 4,043 | I | | By | retirement |
| Exchangeable Shares (1) | 3,120 | I | | By | spouse's r |
| | | | | | |

Table I -- Non-Derivative Securities Beneficially Owned

Table II -- Derivative Securitites Beneficially Owned

| 1.Title of Derivative 2.Date Exer- | | 3.Title and Amount | | 4. Conver- 5. Own | |
|-------------------------------------|---------------------|--------------------|-----------|-------------------|---------|
| Security | cisable and | of Underlying | | sion or | ship |
| | Expiration | Securities | | exercise | Form of |
| | Date(Month/ | | | price of | Deriv- |
| | Day/Year) | | Amount | deri- | ative |
| | Date Expira- | - | or | vative | Securit |
| | Exer- tion | Title | Number of | Security | Direct(|
| | cisable Date | 1 | Shares | | Indirec |
| Stock Option (right | to blimmod 16/26/05 | Common Stock | 164 000 | | |
| Stock Option (right | to b immed 6/26/05 | Common Stock | 64,000 | \$14.69 | D |
| uy) | | | | | |

| Stock Option (right tuy) | o b immed | 6/26/05 | Common Stock | 49,280 | \$10.72 | D |
|------------------------------|-------------|-------------|------------------|------------|------------------|-------|
| | | | | | | |
| Stock Option (right tuy) | o b immed | 9/10/09 | Common Stock | 120,000 | \$19.25 | D |
| | | | | | | |
| Stock Option (right t uy) | o b (3) | 5/14/13 | Common Stock | 10,000 | \$28.56 | D |

Explanation of Responses:

(1) The Exchangeable Shares are shares of stock of 4011686 Canada Inc., a corporation existing under the laws of Canada and wholly-owned subsidiary of the Registrant, that are convertible into common stock of the issuer on a one-for-one basis at the option of the holder at any time after February 16, 2002, pursuant to the terms set forth in the Provisions Attaching to the Exchangeable Shares (the "Provisions") set forth as Appendix 1 to Schedule B of that certain Arrangement Agreement, dated November 14, 2001, between Franco-Nevada Mining Corporation Limited and Newmont Gold Company (formerly known as Newmont Mining Corporation). Pursuant to that certain Voting and Exchange Trust Agreement between the Issuer, 4011686 Canada Inc. and Computershare Trust Company of Canada (the "Trustee"), holders of Exchangeable Shares are entitled to direct the Trustee to cast the votes attaching to the share of the Special Voting Stock of the Issuer held by the Trustee, on all matters submitted to the stockholders of the Issuer. The Exchangeable Shares remain exchangeable at the option of the holder until redeemed by the Issuer pursuant to, and subject to the conditions of, the Provisions. (2) David Harquail has executed a power of attorney, a copy of which has been previously filed, authorizing Ardis Young to execute this Form 3 on his behalf. (3) The option vests in four equal installments beginning on May 14, 2003. SIGNATURE OF REPORTING PERSON David Harquail by Ardis Young, Attorney-in-Fact (2) DATE January 2, 2003