

Davey Deirdre N  
Form 3  
February 15, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |          |                                      |  |  |
|---|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |          | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â Davey Deirdre N                         |          | (Month/Day/Year)                     | AMERIPRISE FINANCIAL INC [AMP]                     |  |
| (Last)                                    | (First)  | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
|   |          | 02/05/2007                           |  |  |
| 1098 AMERIPRISE FINANCIAL CENTER          |          |                                      | (Check all applicable)                             |  |
|   | (Street) |                                      | <input type="checkbox"/> Director                  | <input type="checkbox"/> 10% Owner                                     |
|   |          |                                      | <input checked="" type="checkbox"/> Officer        | <input type="checkbox"/> Other   |
|   |          |                                      | (give title below)                                 | (specify below)  |
|   |          |                                      | Sr VP Corp Comm/Cmnity Rltns                       |  |
|   |          |                                      |  | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   |          |                                      |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |          |                                      |  | <input type="checkbox"/> Form filed by More than One Reporting Person  |
| MINNEAPOLIS,Â MNÂ 55474                   |          |                                      |  |  |
| (City)                                    | (State)  | (Zip)                                |  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 4,246   | D  | Â   |
| Common Stock                    | 265   | I  | By 401(k) Plan  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

## Edgar Filing: Davey Deirdre N - Form 3

|                                      | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) |   |
|--------------------------------------|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Employee Stock Option (right to buy) | Â <u>(1)</u>     | 01/26/2013      | Common Stock | 3,610                      | \$ 21.8187          | D   | Â |
| Employee Stock Option (right to buy) | Â <u>(2)</u>     | 01/25/2014      | Common Stock | 7,220                      | \$ 31.3125          | D   | Â |
| Employee Stock Option (right to buy) | Â <u>(3)</u>     | 01/23/2015      | Common Stock | 8,183                      | \$ 32.5871          | D   | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u>     | 10/03/2015      | Common Stock | 6,229                      | \$ 35.035           | D   | Â |
| Employee Stock Option (right to buy) | Â <u>(5)</u>     | 01/27/2016      | Common Stock | 8,887                      | \$ 43.655           | D   | Â |
| Employee Stock Option (right to buy) | Â <u>(6)</u>     | 01/30/2017      | Common Stock | 4,602                      | \$ 58.73            | D   | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                 |       |
|--|---------------|-----------|---------------------------------|-------|
|  | Director      | 10% Owner | Officer                         | Other |
| Davey Deirdre N<br>1098 AMERIPRISE FINANCIAL CENTER<br>MINNEAPOLIS, MN 55474 | Â             | Â         | Â Sr VP Corp Comm/Cmunity Rltns | Â     |

## Signatures

/s/ Thomas R. Moore for Deirdre N.  
Davey 02/15/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The option vests 100% on January 27, 2007.
- (2) The option vests in two equal installments beginning on January 26, 2007.
- (3) The option vests in three equal installments beginning on January 24, 2007.
- (4) The option vests in three equal installments beginning on October 3, 2007.
- (5) The option vests in four equal installments beginning on January 26, 2007.
- (6) The option vests in three equal installments beginning on January 30, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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