#### Edgar Filing: ABIOMED INC - Form 4

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| ABIOMED INC<br>Form 4  |  |  |   |                                     |   |  |   |   |                     |  |  |
|--|--|--|---|-------------------------------------|---|--|---|---|---------------------|--|--|
| May 16, 2014   |  |  |   |                                     |   |  |   |   |                     |  |  |
| FORM 4   |  |  | S SECURITIES AND EXCHANGE COMMISSION  |                                     |   |  |   |   | OMB APPROVAL        |  |  |
|  | UNITED SI  |  | <b>Washington</b>   |                                     |   | NGE C  | OMMISSION   | OMB<br>Number:  | 3235-0287           |  |  |
| Check this box if no longer  | STATEMENT OF CHANGES IN BENEFICIAL OWNERS                    |  |   |                                     |   |  |   | Expires:  | January 31,<br>2005 |  |  |
| subject to<br>Section 16.<br>Form 4 or   | STATEME  | INT OF CH                                      | ANGES IN<br>SECUI   | NERSHIP OF                          | Estimated a<br>burden hour<br>response  | verage   |   |   |                     |  |  |
| Form 5<br>obligations<br>may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940 |  |  |   |                                     |   |  |   |   |                     |  |  |
| (Print or Type Respons   | ses)   |  |   |                                     |   |  |   |   |                     |  |  |
|  |  |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>ABIOMED INC [ABMD] |                                     |   |  | 5. Relationship of Reporting Person(s) to Issuer                        |   |                     |  |  |
| (Last) (F  | (Last) (First) (Middle)                                      |  |   |                                     | <b>'</b> ]  |  | (Check all applicable)  |   |                     |  |  |
| C/O ABIOMED,<br>HILL DRIVE   | Date of Earliest Transaction<br>Ionth/Day/Year)<br>5/14/2014 |  |   |                                     | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Chief Operating Officer  |  |   |   |                     |  |  |
| (S   | Amendment, D<br>(Month/Day/Yea                               | -  | al  |                                     | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |   |   |                     |  |  |
| DANVERS, MA  | 01923  |  |   |                                     |   |  | Form filed by Me<br>Person  | ore than One Rep  | porting             |  |  |
| (City) (S  | State) (Zi   | ip)  | Table I - Non-  | Derivative                          | Secu  | rities Acqu  | uired, Disposed of,   | or Beneficiall  | y Owned             |  |  |
|  | a  | 3.<br>, if Transacti<br>Code<br>ar) (Instr. 8) | 4. Securi<br>or(A) or D<br>(Instr. 3,                                       | ispose                              | d of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                     |  |  |
| Common   |  |  | Code V  | Amount 5,000                        | (D)   | Price  |   |   |                     |  |  |
| Stock, \$.01 05/14 par value   | 4/2014   |  | А   | $\frac{(6)}{(8)}$ $\frac{(7)}{(8)}$ | А   | \$ 0   | 131,907   | D   |                     |  |  |
| Common<br>Stock, \$.01 05/13<br>par value  | 5/2014   |  | S   | 1,425<br>(4)                        | D   | \$<br>20.944<br>(5)  | 130,482   | D   |                     |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | <ul> <li>4. 5. Number of<br/>TransactiorDerivative</li> <li>Code Securities</li> <li>(Instr. 8) Acquired (A)<br/>or Disposed of<br/>(D)<br/>(Instr. 3, 4,<br/>and 5)</li> </ul> |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                                  | 7. Title and Amount o<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                            |
|---|---|---|---|---|--------|--|----------------------------------|--|-----------------|----------------------------|
|   |   |   |   | Code V  | (A)    | (D)  | Date Exercisable                 | Expiration<br>Date   | Title           | Amount<br>Number<br>Shares |
| Stock<br>Option<br>(right to<br>buy) $(1)$          | \$ 13.88  |   |   |   |        |  | 04/23/2008 <u>(2)</u>            | 04/23/2017   | Common<br>Stock | 130,00                     |
| Stock<br>Option<br>(right to<br>buy) $(1)$          | \$ 13.8   |   |   |   |        |  | 05/23/2009 <u>(2)</u>            | 05/23/2018   | Common<br>Stock | 12,00                      |
| Stock<br>Option<br>(right to<br>buy) $(3)$          | \$ 5.86   |   |   |   |        |  | 05/28/2010 <u>(2)</u>            | 05/28/2019   | Common<br>Stock | 6,500                      |
| Stock<br>Options<br>(right to<br>buy) $(3)$         | \$ 5.86   |   |   |   |        |  | 03/31/2010 <u>(2)</u>            | 05/28/2019   | Common<br>Stock | 34,50                      |
| Stock<br>Option<br>(right to<br>buy) $(3)$          | \$ 10.03  |   |   |   |        |  | 06/03/2011 <u>(2)</u>            | 06/03/2020   | Common<br>Stock | 50,00                      |
| Stock<br>Option<br>(right to<br>buy) $(3)$          | \$ 22.44  |   |   |   |        |  | 05/22/2013 <u>(2)</u>            | 05/22/2022   | Common<br>Stock | 25,00                      |
| Stock<br>Option<br>(Right to<br>Buy) (3)            | \$ 23.15  |   |   |   |        |  | 05/14/2014(2)                    | 05/14/2023   | Common<br>Stock | 23,00                      |
| Stock<br>Option<br>(Right to<br>Buy) (3)            | \$ 21.55  | 05/14/2014                              |   | А   | 15,000 |  | 05/14/2015 <u><sup>(9)</sup></u> | 05/14/2024   | Common<br>Stock | 15,00                      |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                         |       |  |  |  |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| I B B B B B B B B B B B B B B B B B B B   | Director      | 10% Owner | Officer                 | Other |  |  |  |
| Weber David M<br>C/O ABIOMED, INC.<br>22 CHERRY HILL DRIVE<br>DANVERS, MA 01923 |               |           | Chief Operating Officer |       |  |  |  |
| Signatures  |               |           |                         |       |  |  |  |
| /s/ Stephen C. McEvoy (by pow<br>attorney)                                      | ver of        |           | 05/16/2014              |       |  |  |  |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) This option becomes exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) Sale of common stock pursuant to reporting owner's 10b5-1 plan sold to pay tax obligations upon vesting.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$20.6200(5) and \$21.4000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- Consists of restricted stock units granted to the reporting person. One third of the restricted stock units will vest and the underlying shares(6) will be issued to the reporting person on each of May 14, 2015, May 14, 2016 and May 14, 2017, so long as the reporting person continues to be employed by the issuer on the vesting dates.

In addition, performance share awards of up to 11,250 restricted units were granted to this reporting person on May 14, 2014. The number of restricted stock units that will become eligible for vesting pursuant to this award will be determined based on the issuer's fiscal 2015 financial performance. One third of the restricted stock units that become eligible for vesting will vest on the date the issuer first publicly

(7) Initiatical performance. One third of the restricted stock units that become eligible for vesting will vest on the date the issuer first publicity announces its financial results for fiscal 2015. The remaining restricted stock units that become eligible for vesting will vest and the underlying shares will be delivered to the reporting person in equal tranches on each of May 14, 2016 and May 14, 2017, in all cases, so long as the reporting person continues to be employed by the issuer on the vesting dates.

In addition, performance share awards of up to 18,000 restricted units were granted to this reporting person on May 14, 2014. One half of
 (8) these restricted stock units will vest immediately upon the issuer's achievement of certain regulatory milestones within three years of the date of grant, with the remaining vesting on the first anniversary of such achievement.

(9) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.