Edgar Filing: ATLANTIC POWER CORP - Form 4

| | C POWER CORP | | | | | | | | | | | |
|---|---|--------------|---|--|--------------------------|---|--|---|---------------------------|---|--|--|
| Form 4 January 26, | 2017 | | | | | | | | | | | |
| | | | | | | | | | | OMB A | PPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | 0 | 1B mber: | 3235-0287 | | |
| Check this box if no longer | | | | | | | | | | | January 31, | |
| subject Section Form 4 | F CHANGES IN BENEFICIAL OWNERSH SECURITIES | | | | | | Est bur | imated a den hou ponse | irs per | | | |
| Form 5 obligati may con <i>See</i> Inst 1(b). | ons ntinue. Section 17 | (a) of the l | Public I | Utility Ho | | ipany | Act | ge Act of 1934 of 1935 or Sec 040 | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| | | | | er Name a | | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |
| (Last) | (First) | (Middle) | 3. Date | of Earliest Transaction | | | | (C. | heck all a | x all applicable) | | |
| | ANTIC POWER ATION, 3 ALLIE UITE 220 | D | (Month/ 01/01/ | /Day/Year) 2017 | | | | X Director Officer (g below) | tive title | | 6 Owner er (specify | |
| | | | | If Amendment, Date Original led(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| DEDHAM | , MA 02026 | | | | | | | Form filed b Person | y More th | an One Re | eporting | |
| (City) | (State) | (Zip) | Ta | ble I - Non | -Derivative S | Securi | ties Ac | quired, Disposed | d of, or B | eneficial | lly Owned | |
| 1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year) | | Date, if | Code (Instr. 3, 4 and 5) Year) (Instr. 8) (A) or | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownersh Form: Direct (I or Indire (I) (Instr. 4) | nip Indi Ow O) (Ins | Vature of irect Beneficial nership str. 4) | | |
| Common shares | 01/01/2017 | | | Code V G <u>(1)</u> | Amount 500,000 (1) | A | Price (<u>1</u>) | 500,000 <u>(1)</u> | I | EG Inv LP wh by his | ld by SADS vestments , an entity colly-owned himself and immediate nily | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | | 4. Transactio | 5. Mumber | 6. Date Exer Expiration D | | 7. Title Amour | | 8. Price of Derivative | 9. Nu Deriv |
|---------------------------|---|---|------------------|--------------------|--|------------------------------|--|-------------------|--|---|----------------|
| Security (Instr. 3) | or Exercise Price of Derivative Security | (Hondin Duy) Tour) | (Month/Day/Year) | Code (Instr. 8) | of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Underlying Securities (Instr. 3 and 4) | | Security (Instr. 5) | Secur Bene Owne Follo Repo Trans (Instr | |
| | | | | Code V | , , | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|---|----------|---------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Palter Gilbert Samuel C/O ATLANTIC POWER CORPORATIO 3 ALLIED DRIVE, SUITE 220 DEDHAM, MA 02026 | N X | | | | | | | |
| Signatures | | | | | | | | |
| /s John S. Miele, attorney-in-fact 01/2 | 6/2017 | | | | | | | |
| **Signature of Reporting Person | Date | | | | | | | |
| Explanation of Respons | es: | | | | | | | |

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents a transfer of shares that were beneficially owned indirectly by the reporting person through EGADS Enterprises Inc., a bare trust of which the reporting person is the sole shareholder, to EGADS Investments LP, an entity wholly-owned by himself and his

(1) If us of which the reporting person is the sole shareholder, to EGADS investments L1, an entity whony-owned by minsen and insert immediate family, for the purposes of estate planning. The reporting person retains indirect beneficial ownership and sole voting and investment control with respect to the shares held by EGADS Investments LP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.