

Ludwig Eric R  
 Form 4  
 November 16, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Ludwig Eric R

(Last) (First) (Middle)

C/O GLU MOBILE INC., 500  
 HOWARD STREET, SUITE 300

(Street)

SAN FRANCISCO, CA 94105

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 11/15/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 EVP, COO and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	11/15/2017		A		15,000 A \$ 0 <sup>(1)</sup>	72,000	D
Common Stock	11/15/2017		F <sup>(2)</sup>		7,828 D \$ 3.87	64,172	D
Common Stock	11/15/2017		A		11,250 A \$ 0 <sup>(3)</sup>	75,422	D
Common Stock	11/15/2017		F <sup>(2)</sup>		5,871 D \$ 3.87	69,551	D
Common Stock	11/15/2017		A		20,938 A \$ 0 <sup>(4)</sup>	90,489	D

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Common Stock	11/15/2017	F <sup>(2)</sup>	10,926	D	\$ 3.87	79,563	D	
Common Stock	11/15/2017	A	5,000	A	\$ 0 <sup>(5)</sup>	84,563	D	
Common Stock	11/15/2017	F <sup>(2)</sup>	2,610	D	\$ 3.87	81,953	D	
Common Stock	11/15/2017	S <sup>(6)</sup>	33,000	D	\$ 3.8955 <sup>(7)</sup>	214,157	I	Trust <sup>(8)</sup>
Common Stock	11/15/2017	G <sup>(9)</sup>	24,953	D	\$ 0	57,000	D	
Common Stock	11/15/2017	G <sup>(9)</sup>	24,953	A	\$ 0	239,110	I	Trust <sup>(8)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. De			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(10)</u>	11/15/2017		M <sup>(1)</sup>		15,000		<u>(11)</u>	<u>(11)</u>	Common Stock	15,000
Restricted Stock Unit	<u>(10)</u>	11/15/2017		M <sup>(3)</sup>		11,250		<u>(12)</u>	<u>(12)</u>	Common Stock	11,250
Restricted Stock Units	<u>(10)</u>	11/15/2017		M <sup>(4)</sup>		20,938		<u>(13)</u>	<u>(13)</u>	Common Stock	20,938
Restricted Stock Units	<u>(10)</u>	11/15/2017		M <sup>(5)</sup>		5,000		<u>(14)</u>	<u>(14)</u>	Common Stock	5,000



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The RSU vested as to 25% of the total number of shares of subject to the RSU on November 15, 2016 (the "RSU First Vesting Date"), with the remaining 75% of the underlying shares vesting in equal quarterly installments over the next three years following the RSU First Vesting Date on the same day of each third month (e.g., the first quarterly vesting date was February 15, 2017, the next quarterly vesting date was May 15, 2017, etc.); provided, however, that if any portion of the RSU vests on a date that is a non-trading day on The NASDAQ Stock Market, then the RSU will vest on the next trading day.

- (14) The RSU vested as to 25% of the total number of shares of subject to the RSU on May 15, 2015 (the "RSU First Vesting Date"), with the remaining 75% of the underlying shares vesting in equal quarterly installments over the next three years following the RSU First Vesting Date on the same day of each third month (e.g., the first quarterly vesting date was August 15, 2015, the next quarterly vesting date was November 15, 2015, etc.); provided, however, that if any portion of the RSU vests on a date that is a non-trading day on The NASDAQ Stock Market, then the RSU will vest on the next trading day.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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