Cabatuan Nelson Form 3 December 22, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement RIGEL PHARMACEUTICALS INC [RIGL] A Cabatuan Nelson (Month/Day/Year) 12/18/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) RIGEL PHARMACEUTICALS, (Check all applicable) INC., 1180 VETERANS BLVD. 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Principal Accounting Officer _X_ Form filed by One Reporting Person **SOUTH SAN** Form filed by More than One FRANCISCO, Â CAÂ 94080 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 58 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	--	---	---------------------------------	---

Edgar Filing: Cabatuan Nelson - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	11/13/2018	Common Stock	1,212	\$ 6.48	D	Â
Stock Option (Right to Buy)	(1)	03/30/2019	Common Stock	3,250	\$ 6.49	D	Â
Stock Option (Right to Buy)	(1)	02/01/2021	Common Stock	5,000	\$ 6.73	D	Â
Stock Option (right to Buy)	(1)	02/01/2021	Common Stock	5,000	\$ 6.73	D	Â
Stock Option (Right to Buy)	(1)	01/25/2022	Common Stock	10,000	\$ 8.15	D	Â
Stock Option (Right to Buy)	(1)	01/30/2023	Common Stock	2,500	\$ 6.51	D	Â
Stock Option (Right to Buy)	(1)	09/19/2023	Common Stock	6,000	\$ 3.55	D	Â
Stock Option (Right to Buy)	(2)	01/29/2024	Common Stock	10,000	\$ 3.2	D	Â
Stock Option (Right to Buy)	(1)	01/29/2024	Common Stock	6,500	\$ 3.2	D	Â
Stock Option (Right to Buy)	(3)	11/12/2024	Common Stock	10,834	\$ 1.68	D	Â
Stock Option (Right to Buy)	(1)	01/26/2025	Common Stock	15,500	\$ 2.14	D	Â
Stock Option (Right to Buy)	(4)	01/22/2026	Common Stock	30,000	\$ 2.72	D	Â
Stock Option (Right to Buy)	(5)	10/03/2026	Common Stock	30,000	\$ 3.67	D	Â
Stock Option (Right to Buy)	(6)	01/24/2027	Common Stock	44,888	\$ 2.1	D	Â
Stock Option (Right to Buy)	(6)	01/24/2027	Common Stock	10,000	\$ 2.1	D	Â
Stock Option (Right to Buy)	(1)	01/24/2027	Common Stock	112	\$ 2.1	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
•	Director	10% Owner	Officer	Other
	Â	Â	Principal Accounting Officer	Â

Reporting Owners 2

Cabatuan Nelson RIGEL PHARMACEUTICALS, INC. 1180 VETERANS BLVD. SOUTH SAN FRANCISCO, CAÂ 94080

Signatures

/s/ Nelson Cabatuan

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is fully vested.
- (2) This option vests monthly over four (4) years from January 1, 2014.
- (3) This option vests monthly over four (4) years from October 1, 2014.
- (4) This option vests monthly over two (2) years from January 1, 2016.
- (5) This option vests monthly over four (4) years from October 3, 2016.
- (6) This option vests monthly over four (4) years from January 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3