SHROTRIYA RAJESH C MD

Form 4

April 01, 2003

SEC Form 4

(City)

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response.....0.5

1. Name and Address of Reporting
Person*
Shrotriya, Rajesh C., M.D.

(Last) (First)
 (Middle)

157 Technology Drive

(Street)

Irvine, CA 92618

(State)

(Zip)

and Ticker or Trading Symbol

Spectrum Pharmaceuticals,
Inc.

SPPI

2. Issuer Name

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 4. Statement for (Month/Day/Year

04/01/2003

5. If Amendment, Date of Original (Month/Day/Year) 6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X Director _ 10% Owner _ Officer (give title below) _ Other (specify below)

Chairman, CEO &

President
7. Individual or Joint/Group

Description

 \underline{X} Form filed by One Reporting Person

Filing (Check Applicable Line)

_ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Inst 8)	е	n(A) or Dis	Securities Acquired A) or Disposed Of (D) (Instr. 3, 4, and 5)		5. Amount of Securities Beneficially Owned Following 6. Owner-ship Form: Direct (D)		7. Nature of Indirect Beneficial Ownership	
			Code	٧	Amount	A/D	Price	4)	or Indirect (I) (Instr.	(Instr. 4)	

				Table II		rities Acquired, Dispose , warrants, options, con		Owned	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)	5. Number of nDerivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)	6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Numb Deriv Secul Bene Owne Follov Repo Trans (Instr

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			Code	٧	А	D	DE	ED	Title	Amount or Number of Shares	
Option to purchse Common Stock	\$1.99	03/28/2003	A		225,000		3/28/2003	3/28/2013	Common Stock	225,000	\$ 225

Explanation of Responses:

By:	Date:
/s/ Rajesh C. Shrotriya, M.D.	<u>04/01/2003</u>
** Signature of Reporting Person	SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.