

Henderson Jeffrey S
Form 3
August 05, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Henderson Jeffrey S
(Last) (First) (Middle)

C/O AVAGO TECHNOLOGIES
LIMITED,Â 350 WEST
TRIMBLE ROAD

(Street)

SAN JOSE,Â CAAÂ 95131

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
08/05/2009

3. Issuer Name **and** Ticker or Trading Symbol
Avago Technologies LTD [AVGO]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner
__X__ Officer ___ Other
(give title below) (specify below)
SVP, Strategy and Bus. Dev.

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Options (right to buy)	Â <u>(1)</u>	01/14/2012	Ordinary Shares	15,979	\$ 1.25	D	Â
Stock Options (right to buy)	Â <u>(1)</u>	11/25/2011	Ordinary Shares	47,952	\$ 1.25	D	Â
Stock Options (right to buy)	Â <u>(1)</u>	11/13/2010	Ordinary Shares	5,992	\$ 1.25	D	Â
Stock Options (right to buy)	Â <u>(1)</u>	11/12/2010	Ordinary Shares	13,448	\$ 1.25	D	Â
Stock Options (right to buy)	Â <u>(1)</u>	10/22/2010	Ordinary Shares	5,992	\$ 1.25	D	Â
Stock Options (right to buy)	Â <u>(1)</u>	05/16/2010	Ordinary Shares	79	\$ 1.25	D	Â
Stock Options (right to buy)	Â <u>(1)</u>	02/03/2010	Ordinary Shares	3,891	\$ 1.25	D	Â
Stock Options (right to buy)	Â <u>(2)</u>	11/30/2015	Ordinary Shares	118,333	\$ 5	D	Â
Stock Options (right to buy)	Â <u>(3)</u>	11/30/2015	Ordinary Shares	118,334	\$ 5	D	Â
Stock Options (right to buy)	Â <u>(4)</u>	03/13/2016	Ordinary Shares	32,500	\$ 5	D	Â
Stock Options (right to buy)	Â <u>(5)</u>	03/13/2016	Ordinary Shares	32,500	\$ 5	D	Â
Stock Options (right to buy)	Â <u>(6)</u>	03/02/2019	Ordinary Shares	50,000	\$ 10	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Henderson Jeffrey S C/O AVAGO TECHNOLOGIES LIMITED 350 WEST TRIMBLE ROAD SAN JOSE,Â CAÂ 95131	Â	Â	Â SVP, Strategy and Bus. Dev.	Â

Signatures

/s/ Patricia H. McCall, Attorney-in-Fact for Jeffrey S.
Henderson

08/05/2009

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Option is fully vested and exercisable.
- (2) Option vests with respect to 20% of the shares subject thereto on each anniversary of December 1, 2005, such that the option will be fully vested and exercisable on December 1, 2010.
Option is exercisable with respect to 71,000 of the shares subject thereto. The remaining balance vests in two (2) successive and equal
(3) annual installments commencing on December 1, 2011, such that the option will be fully vested and exercisable on December 1, 2012. The vesting of each such annual installment accelerates by two years upon the achievement of certain performance milestones.
- (4) Option vests with respect to 20% of the shares subject thereto on each anniversary of December 1, 2005, such that the option will be fully vested and exercisable on December 1, 2010.
Option is exercisable with respect to 19,500 of the shares subject thereto. The remaining balance vests in two (2) successive and equal
(5) annual installments commencing on December 1, 2011, such that the option will be fully vested and exercisable on December 1, 2012. The vesting of each such annual installment accelerates by two years upon the achievement of certain performance milestones.
- (6) Option vests with respect to 20% of the shares subject thereto on each anniversary of March 3, 2009, such that the option will be fully vested and exercisable on March 3, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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