Kraynak Mark E. Form 3 November 08, 2011

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response...

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Kraynak Mark E.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/08/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

IMPERVA INC [IMPV]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O IMPERVA, INC., Â 3400 BRIDGE PARKWAY, SUITE

200

(Street)

Director \_X\_\_ Officer

(give title below) (specify below)

VP, Worldwide Marketing

(Check all applicable)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### REDWOOD SHORES, CAÂ 94065

(City)

(Instr. 4)

1. Title of Security

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership

3.

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Form:

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

Exercisable

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

Price of

5. Ownership Form of

Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Date

Title Amount or

Number of

Security: Derivative Security Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	07/20/2014	Common Stock	13,750	\$ 0.6	D	Â
Stock Option (right to buy)	(2)	12/07/2014	Common Stock	8,750	\$ 0.6	D	Â
Stock Option (right to buy)	(3)	03/10/2016	Common Stock	7,500	\$ 0.72	D	Â
Stock Option (right to buy)	(4)	02/01/2017	Common Stock	10,000	\$ 0.78	D	Â
Stock Option (right to buy)	(5)	08/28/2017	Common Stock	10,000	\$ 0.92	D	Â
Stock Option (right to buy)	(6)	02/10/2019	Common Stock	80,000	\$ 1.64	D	Â
Stock Option (right to buy)	(7)	03/02/2021	Common Stock	10,000	\$ 5.42	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner France, Frances	Director	10% Owner	Officer	Other		
Kraynak Mark E. C/O IMPERVA, INC.	•	•	•	•		
3400 BRIDGE PARKWAY, SUITE 200	Ä	Ä	VP, Worldwide Marketing	Ä		
REDWOOD SHORES, CA 94065						

Date

# **Signatures**

\*\*Signature of Reporting Person

/s/ Tram Phi, by power of attorney 11/08/2011

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Stock Option vested over four years as follows: 25% of the underlying shares vested one year following the vesting commencement (1) date of June 15, 2004, and the remaining 75% vested in equal quarterly installments over the next three years. This Option is now fully vested and exercisable.
- This Stock Option vested over four years as follows: 25% of the underlying shares vested one year following the vesting commencement date of December 7, 2004, and the remaining 75% vested in equal quarterly installments over the next three years. This Option is now fully vested and exercisable.
- This Stock Option vests over four years of continuous service as follows: 25% of the underlying shares vest one year following the vesting commencement date of January 1, 2006, with the remaining 75% vesting in equal quarterly installments over the next three years. This Option is now fully vested and exercisable.
- (4) This Stock Option vests over four years of continuous service as follows: 25% of the underlying shares vest one year following the vesting commencement date of February 1, 2007, with the remaining 75% vesting in equal quarterly installments over the next three

Reporting Owners 2

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years. This Option is now fully vested and exercisable.

- This Stock Option vests over four years of continuous service as follows: 25% of the underlying shares vest one year following the vesting commencement date of July 8, 2007, with the remaining 75% vesting in equal quarterly installments over the next three years. This Option is now fully vested and exercisable.
- This Stock Option vests over four years of continuous service as follows: 25% of the underlying shares vest one year following the vesting commencement date of January 1, 2009, with the remaining 75% vesting in equal quarterly installments over the next three years. This Stock Option is subject to accelerated vesting in the event of a change in control of the Issuer and additional accelerated vesting in the event of a termination of employment of the Reporting Person under certain circumstances following a change in control of the Issuer.
- This Stock Option vests over three years of continuous service as follows: 25% of the underlying shares vest 27 months following the vesting commencement date of January 1, 2011, with the remaining 75% vesting in equal quarterly installments over the next nine months. This Stock Option is subject to accelerated vesting in the event of a termination of employment of the Reporting Person under certain circumstances following a change in control of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.