Ziemba Peter M Form 4 February 06, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Ziemba Peter M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

WisdomTree Investments, Inc.

(Check all applicable)

Chief Legal Officer

[WETF]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/02/2012

below)

10% Owner X\_ Officer (give title Other (specify

C/O WISDOMTREE INVESTMENTS, INC., 380 MADISON AVENUE, 21ST **FLOOR** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10017

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative S	ecurit	ies Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Execution Date, if Transaction(A) or Disposed of (Instr. 3, 4 and 5)			of (D)	5. Amount of Securities Beneficially Owned Following Reported	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	02/02/2012		Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Stock	02/02/2012		M	172,232	A	1.07	830,671 (3)	D	
Common Stock	02/02/2012		S	172,232	D	\$ 5.33	658,439 (3)	D	
Common Stock	02/03/2012		M	27,768	A	\$ 1.07	686,207 <u>(3)</u>	D	
Common Stock	02/03/2012		S	27,768	D	\$ 5.33	658,439 (3)	D	

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Common Stock	9,600 (1)	I	By Son
Common Stock	4,500 (2)	I	By Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 1.07	02/02/2012		M		172,232	<u>(4)</u>	04/22/2017	Common Stock	172,232
Stock Option (right to buy)	\$ 1.07	02/03/2012		M		27,768	<u>(4)</u>	04/22/2017	Common Stock	27,768

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Ziemba Peter M C/O WISDOMTREE INVESTMENTS, INC. 380 MADISON AVENUE, 21ST FLOOR NEW YORK, NY 10017

Chief Legal Officer

## **Signatures**

/s/ Peter M. 02/06/2012 Ziemba

\*\*Signature of Date

Reporting Person

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the benificial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) See Note 1.
  - Includes (i) restricted stock award which vests as to 37,500 shares on each of January 25, 2013, 2014, 2015 and 2016, (ii) restricted stock
- (3) award which vests as to 6,351 shares on January 25, 2013, and (iii)restricted stock award which vest as to 25,000 shares on each of January 27, 2013, 2014 and 2015.
  - Exercisable (at an exercise price of \$6.35 per share) as to 200,000 share on each of April 27, 2008, 2009, 2010, and 2011. Modified on
- (4) January 26, 2009 to also become exercisable (at an exercise price of \$1.07 per share) as to 200,000 shares on each of January 27, 2010, 2011, 2012 and 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.