Edgar Filing: Ziemba Peter M - Form 4

Ziemba Pete	er M									
Form 4 February 06	. 2012									
FORM	ЛЛ							OMB AI	PPROVAL	
	UNITED		JRITIES AND EXCHANGE COMMI Vashington, D.C. 20549					OMB Number:	3235-0287	
Check th if no lon	ger				~			Expires:	January 31, 2005	
subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Estim burde							Estimated a burden hou response	average rs per		
(Print or Type	Responses)									
1. Name and A Ziemba Pet	Address of Reporting I eer M	Symbol	mTree Inv	l Ticker or T estments,	-	5	5. Relationship of Issuer (Check	Reporting Pers		
	· · · ·	(Month/ 02/02/2	of Earliest Ti Day/Year) 2012	ransaction			Director X Officer (give below) Chief			
NEW YOR	(Street) 2K, NY 10017		endment, Da onth/Day/Year	-			6. Individual or Jo. Applicable Line) _X_ Form filed by O Form filed by M Person	one Reporting Pe	erson	
(City)	(State)	(Zip) Tab	ole I - Non-I	Derivative So	ecurit	ies Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		4. Securitie on(A) or Disp (Instr. 3, 4 Amount	posed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4)		
Common Stock	02/02/2012		M	172,232	A	\$ 1.07	830,671 <u>(3)</u>	D		
Common Stock	02/02/2012		S	172,232	D	\$ 5.33	658,439 <u>(3)</u>	D		
Common Stock	02/03/2012		М	27,768	А	\$ 1.07	686,207 <u>(3)</u>	D		
Common Stock	02/03/2012		S	27,768	D	\$ 5.33	658,439 <u>(3)</u>	D		

Edgar Filing: Ziemba Peter M - Form 4

Common Stock	9,600 <u>(1)</u>	Ι	By Son
Common Stock	4,500 <u>(2)</u>	Ι	By Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) Disposed of ((Instr. 3, 4, at 5)) or (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying S	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	1	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 1.07	02/02/2012		М	172,2	.32	<u>(4)</u>	04/22/2017	Common Stock	172,232	
Stock Option (right to buy)	\$ 1.07	02/03/2012		М	27,76	58	(4)	04/22/2017	Common Stock	27,768	

Reporting Owners

Reporting Owner Name / Address		Relationships						
http://ling.owner		Director	10% Owner	Officer	Other			
Ziemba Peter M C/O WISDOMTREE IN 380 MADISON AVEN NEW YORK, NY 1001	UE, 21ST FLOOR			Chief Legal Officer				
Signatures								
/s/ Peter M. Ziemba	02/06/2012							

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the benificial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) See Note 1.
- Includes (i) restricted stock award which vests as to 37,500 shares on each of January 25, 2013, 2014, 2015 and 2016, (ii) restricted stock (3) award which vests as to 6,351 shares on January 25, 2013, and (iii)restricted stock award which vest as to 25,000 shares on each of January 27, 2013, 2014 and 2015.

Exercisable (at an exercise price of \$6.35 per share) as to 200,000 share on each of April 27, 2008, 2009, 2010, and 2011. Modified on
(4) January 26, 2009 to also become exercisable (at an exercise price of \$1.07 per share) as to 200,000 shares on each of January 27, 2010, 2011, 2012 and 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.