Edgar Filing: Nguyen Tran - Form 4

Nguyen Tran	L										
Form 4											
April 02, 201	3										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
Washington, D.C. 20549								N OMB Number:	3235-0287		
	Check this box if no longer							Expires:	January 31,		
subject to		STATEMENT OF CHANGES IN BENEFICIAL OWNERSH SECURITIES						Estimated	2005 average		
Section 1								burden hou	•		
Form 4 or Form 5		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							response 0.5		
obligation	1 0						•				
may conti <i>See</i> Instru 1(b).	inue. Section 170			•	•	npany Act ny Act of 1	of 1935 or Secti 940	on			
(Print or Type R	Responses)										
Nguyen Tran Sy				er Name an na Corp p		-	5. Relationship of Reporting Person(s) to Issuer				
			Prothena Corp plc [PRTA] 3. Date of Earliest Transaction				(Cho	eck all applicabl	all applicable)		
				Day/Year)	ransaction		Director	100	% Owner		
PROTHENA CORPORATION PLC, 650 GATEWAY BOULEVARD			04/01/2013				Officer (give title Other (specify below) below) Chief Financial Officer				
DOULEVA											
Filed(Mo				endment, D onth/Day/Yea	-	ıl	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
SOUTH SA	N O, CA 94080						Person	wore than one R	eporting		
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Exercise an ce of (N rivative		Cod	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day,	Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Cod	le V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 6.73	04/01/2013		А		180,000		<u>(1)</u>	03/31/2023	Ordinary Shares	180,000
Reporting Owners											
Reporting Owner Name / Address				R	elationships	5					
			Director	10% Owner		Officer		Other			
Nguyen Tran PROTHENA CORPORATION PLC 650 GATEWAY BOULEVARD SOUTH SAN FRANCISCO, CA 94080			0			Chief Financial Officer					
Signa	tures										
/s/ Kevin Hickey, as Attorney-in-Fact for Tran B. Nguyen					04/02/2013						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares subject to the option will vest and become exercisable as to 25% of the total number of shares subject to the option on March 25, 2014 and with respect to 1/48th of the total number of shares subject to the option in successive, equal monthly installments on each

Date

(1) 20, 2014 and whit respect to 174 still of the total number of shares subject to the option in successive, equal monthly installinents of each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.