TETRA TECH INC

Form 4 June 24, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number:

January 31, Expires: 2005

0.5

of

Estimated average

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Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction

(Middle)

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* LEMMON RICHARD A

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

TETRA TECH INC [TTEK]

3. Date of Earliest Transaction

(Month/Day/Year)

06/23/2016

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

below)

**SVP** 

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

3475 EAST FOOTHILL **BOULEVARD** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

PASADENA,, CA 91107

(City)	(State)	(Zip) Table	I - Non-D	Perivative Securities Acqui	ired, Disposed of	, or Beneficial	ly Owned
1.Title of	2. Transaction D	ate 2A. Deemed	3.	4. Securities Acquired	5. Amount of	6.	7. Nature of
Security	(Month/Day/Yea	ar) Execution Date, if	Transac	tion(A) or Disposed of (D)	Securities	Ownership	Indirect
(Instr. 3)		anv	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial

(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3,	(A) or	5) Price	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
COMMON STOCK	06/23/2016		M	8,000	A	\$ 16.98	34,833 (3)	D	
COMMON STOCK	06/23/2016		S	4,000	D	\$ 31 (4)	30,833	D	
COMMON STOCK	06/23/2016		S	4,000	D	\$ 31.5 (5)	26,833	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: TETRA TECH INC - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number op of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable Date (Month/Day/Year	•	7. Title and An Underlying Sec (Instr. 3 and 4)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title
EMPLOYEE STOCK OPTION (RIGHT TO BUY)	\$ 16.98	06/23/2016	M	8,000	11/14/2012(1)	11/14/2016(2)	COMMON STOCK

### **Reporting Owners**

Reporting Owner Name / Address		Relationships				
•	Director	10% Owner	Officer	Othe		

LEMMON RICHARD A 3475 EAST FOOTHILL BOULEVARD

SVP

# PASADENA,, CA 91107 Signatures

JANIS SALIN for RICHARD A. LEMMON

06/24/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became fully vested on November 14, 2012.
- (2) The option expires in the current year.
- (3) The reporting person acquired 221 shares through the company's employee stock purchase plan (ESPP) on December 15, 2015.
- (4) Shares were sold at an exact price, pursuant to a 10b5-1 plan.
- (5) Shares were sold at an exact price, pursuant to a 10b5-1 plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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