TETRA TECH INC

Form 4

November 23, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

(Ctota)

(7:m)

1. Name and Address of Reporting Person * LEMMON RICHARD A			2. Issuer Name <b>and</b> Ticker or Trading Symbol TETRA TECH INC [TTEK]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check air applicable)			
			(Month/Day/Year)	Director 10% Owner			
3475 EAST FOOTHILL			11/21/2016	X Officer (give title Other (spec			
BOULEVARD	)			Senior Vice President			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
PASADENA,,	CA 91107			_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State) (Z	Table	I - Non-De	rivative Se	ecurit	ies Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed			spose 4 and (A)	cquired d of (D) 5)	5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	_		Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
COMMON STOCK	11/21/2016		F	290 (1)	D	\$ 41.25	28,695	D	
COMMON STOCK	11/22/2016		A	635 (2)	A	\$ 0	29,330	D	
COMMON STOCK	11/22/2016		F	836 (3)	D	\$ 42.8	28,494	D	
COMMON STOCK	11/22/2016		F	224 (4)	D	\$ 42.8	28,270	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
									of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

LEMMON RICHARD A 3475 EAST FOOTHILL BOULEVARD PASADENA,, CA 91107

Senior Vice President

### **Signatures**

Janis B. Salin for Richard A. Lemmon

11/23/2016

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 290 of the shares underlying RSUs which vested on 11/21/2016 were withheld to pay the related tax liability. **(1)**
- In accordance with the Executive Compensation Policy, 140% of one restricted stock installment granted on 11/22/2013 became **(2)** vested on 11/22/2016, and 635 additional shares were issued to the reporting person.
- 836 of the shares underlying restricted stock which vested on 11/22/2016 were withheld to pay the related tax liability. **(3)**
- **(4)** 224 of the shares underlying RSUs which vested on 11/22/2016 were withheld to pay the related tax liability.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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