Genid Linda J Form 5 February 01, 201

February 01, 2018 **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Genid Linda J Symbol **GENERAL AMERICAN** (Check all applicable) **INVESTORS CO INC [GAM]** (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Other (specify \_ Officer (give title (Month/Day/Year) below) below) 12/03/2017 Corporate Secretary **GENERAL AMERICAN** INVESTORS, Â 100 PARK AVE -35TH FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) NEW YORK, NYÂ 10017 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of Transaction Security (Month/Day/Year) Execution Date, if Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) Fiscal Year (A) (Instr. 3 and or (D) Price Amount By Thrift Common Â \$ (1) 56,732 12/29/2017  $J^{(1)}$ 6.689 A Ι Plan Trust Stock (2) By 5.95% Custodian Â Â Â Â Â Â Preferred 120 Ι for Stock

Daughter (3)

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5.95%

Preferred  $\hat{A}$   $\hat{A}$ 

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Genid Linda J GENERAL AMERICAN INVESTORS 100 PARK AVE - 35TH FLOOR NEW YORK, NYÂ 10017

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Corporate Secretary  $\hat{A}$ 

## **Signatures**

/s/ Linda J. 02/01/2018 Genid

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities reported herein were acquired on a periodic basis in open market transactions at the prevailing market price pursuant to the Issuer's Employees' Thrift Plan. The information is based upon a statement as of 12/31/2017 issued by the Plan Administrator.
- (2) By Issuer's Employees' Thrift Plan Trust. The undersigned disclaims any beneficial interest in these shares.
- (3) By Custodial Account for daughter, Dina Genid, under the New Jersey Uniform Transfers to Minors Act.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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	Shares Beneficially Owned	
	Shares	Percentage
John L. Bell (1)	3,000	*
800 Mt. Vernon Highway, Suite 230		
Atlanta, GA 30328		
Michael R. Buchanan (2)	500	*
1630 Misty Oaks Dr.		
Atlanta, GA 30350		
Richard W. Carpenter (1)	3,000	*
Realmark Holdings Wells REIT		
P.O. Box 421669 (30342)		
5570 Glenridge Drive		
Atlanta, GA 30342		
Bud Carter (1)	14,720	*
The Executive Committee		
100 Mount Shasta Lane		
Alpharetta, GA 30022-5440		
William H. Keogler, Jr. (1)	3,000	*
469 Atlanta Country Club Drive		
Marietta, GA 30067		
Donald S. Moss (1)	94,640	*
114 Summerour Vale		
Duluth, GA 30097		
Walter W. Sessoms (1)	51,315	*
5995 River Chase Circle NW		
Atlanta, GA 30328		
Neil H. Strickland (1)	4,002	*
Strickland General Agency, Inc.		
3109 Crossing Park		
P.O. Box 129		

Norcross, GA 30091 All officers and directors as a group (2)

183,195

3,195

- \* Less than 1% of the outstanding common stock.
- (1) Includes options to purchase up to 3,000 shares of common stock, which are exercisable within 60 days of March 31, 2003.
- (2) Includes options to purchase up to 500 shares of common stock, which are exercisable within 60 days of March 31, 2003.
- (3) Includes options to purchase an aggregate of up to 21,500 shares of common stock, which are exercisable within 60 days of March 31, 2003

## Section 16(a) Beneficial Ownership Reporting Compliance

Under U.S. securities laws, directors, certain executive officers and certain persons holding more than 10% of our common stock are required to report their initial ownership of the common stock and any changes in that ownership to the Securities and Exchange Commission. The Securities and Exchange Commission has designated specific due dates for these reports, and we are required to identify in this proxy statement those persons who did not file these reports when due. Based solely on our review of copies of the reports filed with the Securities and Exchange Commission and written representations of our directors and executive officers, we believe all persons subject to these reporting requirements filed the required reports on a timely basis in 2002.

## PROPOSAL YOU MAY VOTE ON

#### **Proposal 1. Election of directors**

At the annual meeting, you and the other stockholders will elect all 10 members of our board of directors. Those persons elected will serve as directors until the 2004 annual meeting or until they otherwise resign or are removed from the board. The board of directors has nominated the following people for re-election as directors:

Leo F. Wells, III

Douglas P. Williams

John L. Bell

Michael R. Buchanan

Richard W. Carpenter

Bud Carter

William H. Keogler, Jr.

Donald S. Moss

Walter W. Sessoms

Neil H. Strickland

Each of the nominees for director is a current member of our board of directors. Detailed information on each nominee is provided on pages 8 through 12.

If you return a properly executed proxy card, unless you direct them to withhold your votes, the individuals named as proxies will vote your shares FOR the election of the nominees listed above. If any nominee becomes unable or unwilling to stand for re-election, the board may reduce its size or designate a substitute. If a substitute is designated, proxies voting on the original nominee will be cast for the substituted

nominee.

Vote Required; Recommendation

Each of the 10 nominees for re-election as a director will be elected at the annual meeting if a majority of shares present in person or by proxy and entitled to vote at the annual meeting vote in favor of such director for re-election. A properly executed proxy marked FOR all nominees listed will be considered a vote in favor of all nominees for re-election as director. A properly executed proxy marked FOR all nominees listed EXCEPT those whose names are written in the space provided will be considered a vote in favor of all nominees except those nominees you specifically list. A properly executed proxy marked WITHHOLD AUTHORITY for all nominees listed will be considered a vote against all directors. Your board of directors unanimously recommends a vote FOR all nominees listed for re-election as directors.

## STOCKHOLDER PROPOSALS

Stockholders interested in presenting a proposal for consideration at our annual meeting of stockholders in 2004 may do so by following the procedures prescribed in Rule 14a-8 under the Securities Exchange Act of 1934 and our Articles of Incorporation and Bylaws. To be eligible for inclusion, stockholder proposals must be received by Douglas P. Williams, our Secretary, no later than December 25, 2003.

16

## **OTHER MATTERS**

As of the date of this proxy statement, we know of no business that will be presented for consideration at the annual meeting other than the items referred to above. If any other matter is properly brought before the meeting for action by stockholders, proxies in the enclosed form returned to us will be voted in accordance with the recommendation of the board of directors or, in the absence of such a recommendation, in accordance with the judgment of the proxy holder.

17

## APPENDIX A

#### PROXY CARD

WELLS REAL ESTATE INVESTMENT TRUST, INC.

#### ANNUAL MEETING OF STOCKHOLDERS

JULY 15, 2003

#### THIS PROXY IS SOLICITED ON BEHALF OF THE BOARD OF DIRECTORS

The undersigned stockholder hereby appoints Leo F. Wells, III or Douglas P. Williams, or either of them, as proxy and attorney-in-fact, each with the power to appoint his substitute, on behalf and in the name of the undersigned, to represent the undersigned at the annual meeting of stockholders of WELLS REAL ESTATE INVESTMENT TRUST, INC. to be held on July 15, 2003, and at any adjournments thereof, and to vote all shares of common stock which the undersigned would be entitled to vote if personally present, as indicated on the reverse side of this card. The undersigned acknowledges receipt of the notice of annual meeting of stockholders, the proxy statement and the annual report furnished herewith.

This proxy, when properly executed, will be voted in the manner directed herein by the undersigned stockholder. If no direction is made, this proxy will be voted FOR all nominees listed . In their discretion, the proxies are authorized to vote upon such other matters as may properly come before the meeting or any adjournments thereof.

(Continued on Reverse Side)

## PROXY BY MAIL

## THE BOARD OF DIRECTORS RECOMMENDS A VOTE

FOR ALL NOMINEES LISTED IN PROPOSAL 1, AS DESCRIBED IN THE PROXY STATEMENT.

(Continued From Other Side)

Please mark your votes like this  $\ x$ 

<ol> <li>Election of directors.</li> <li>Nominees: Leo F. Wells, III; Douglas P. Williams; John L.</li> <li>Bell; Michael R. Buchanan; Richard W. Carpenter; Bud Carter;</li> </ol>	FOR all nominees listed	FOR all nominees listed EXCEPT those whose names are written in the space provided	WITHHOLD AUTHORITY for all nominees listed		
William H. Keogler, Jr.; Donald S. Moss; Walter W. Sessoms; and Neil H. Strickland.					
Instructions: To withhold authority to vote for any individual nominee, write that nominee s name in the space provided below:		GN, DATE AND RETURN THE ENCLOSED ENVEL			
		COMPANY NUMBER:			
		PROXY N	IUMBER:		
		ACCOUNT	NUMBER:		
	_				
Signature Signatur	re	D	ate		
Please sign exactly as name appears on this proxy card. When shares of executor, administrator, trustee or guardian, please give full title as such. officer. If a partnership, please sign in partnership name by general partnership.	. If a corporation, please sign	in full corporate name by Preside			

 $\circ$  FOLD AND DETACH HERE AND READ THE REVERSE SIDE  $\, \circ$ 

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#### VOTE BY PHONE OR INTERNET

QUICK \*\*\*EASY\*\*\*IMMEDIATE

#### WELLS REAL ESTATE INVESTMENT TRUST, INC.

- n You can now vote your shares electronically through the Internet or the telephone.
- n This eliminates the need to return the proxy card
- n Your electronic vote authorizes the named proxies to vote your shares in the same manner as if you marked, signed, dated and returned the proxy card.

#### TO VOTE YOUR PROXY BY INTERNET

#### TO VOTE YOUR PROXY BY MAIL

Mark, sign and date your proxy card above, detach it and

www.continentalstock.com

Have your proxy card in hand when you access the above web site. You will be prompted to enter the company number, proxy number and account number to create an electronic ballot. Follow the prompts to vote your shares.

will be prompted to enter the company number, return it in the postage-paid envelope provided.

## TO VOTE YOUR PROXY BY PHONE

1-800-293-8533

Use any touch-tone telephone to vote your proxy. Have your proxy card in hand when you call. You will be prompted to enter the company number, proxy number and account number. Following the voting instructions to vote your shares.

## PLEASE DO NOT RETURN THE ABOVE CARD IF YOU VOTED ELECTRONICALLY