

SVB FINANCIAL GROUP  
Form 8-K/A  
January 11, 2010

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 8-K/A**

(Amendment No. 1)

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): November 17, 2009**

**SVB Financial Group**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction  
of incorporation)

**000-15637**  
(Commission File Number)

**3003 Tasman Drive, Santa Clara, CA 95054-1191**

**91-1962278**  
(I.R.S. Employer  
Identification No.)

Edgar Filing: SVB FINANCIAL GROUP - Form 8-K/A

(Address of principal executive offices) (Zip Code)

**Registrant's telephone number, including area code: (408) 654-7400**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.142-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item. 8.01 Other Events.**

SVB Financial Group (the Company) previously announced in November 2009 that it had received a written inquiry from the San Francisco Office of the Securities and Exchange Commission (the SEC) in connection with possible insider trading in the Company's securities during the July 2009 time frame. The Company responded to the inquiry as requested. On January 8, 2010, the Company received a letter from the SEC confirming that it had terminated its investigation and that no enforcement action would be recommended.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 11, 2010

**SVB FINANCIAL GROUP**

By: /s/ MICHAEL DESCHENEUX  
Name: Michael Descheneaux  
Title: Chief Financial Officer